

Winter Workshop Nuclear Dynamics 2013



Photons and Dilepton Measurements in PHENIX



Sky Rolnick
PHENIX Collaboration





HEAVY ION VALLEY

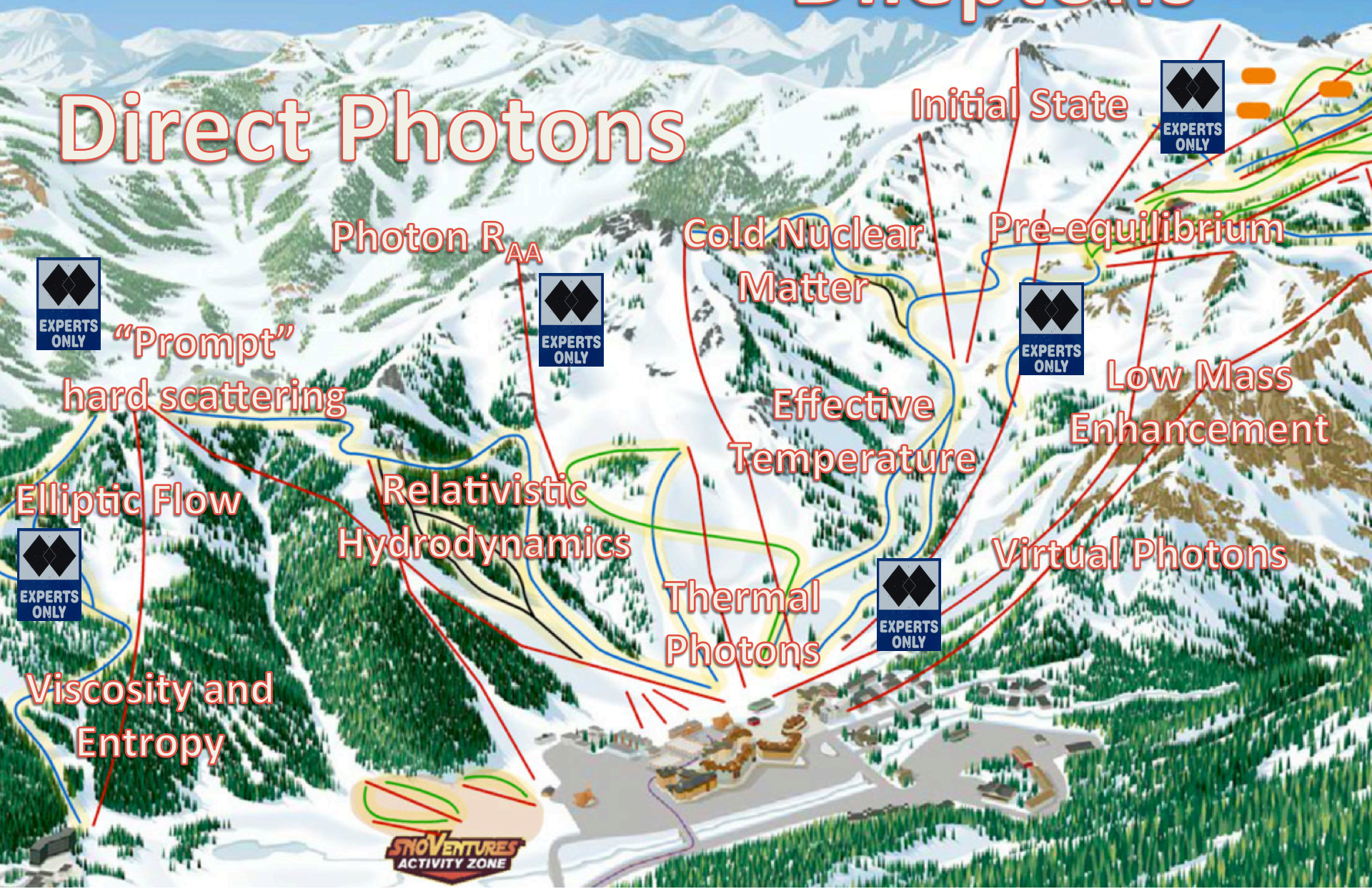
Dileptons

Direct Photons

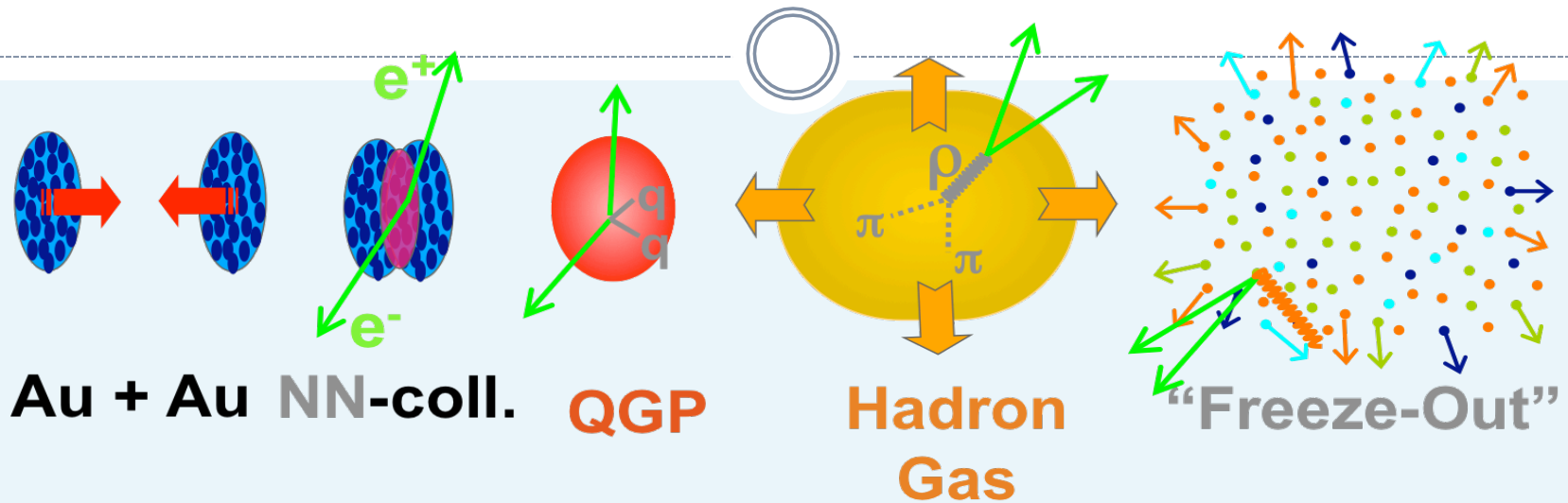




Direct Photons

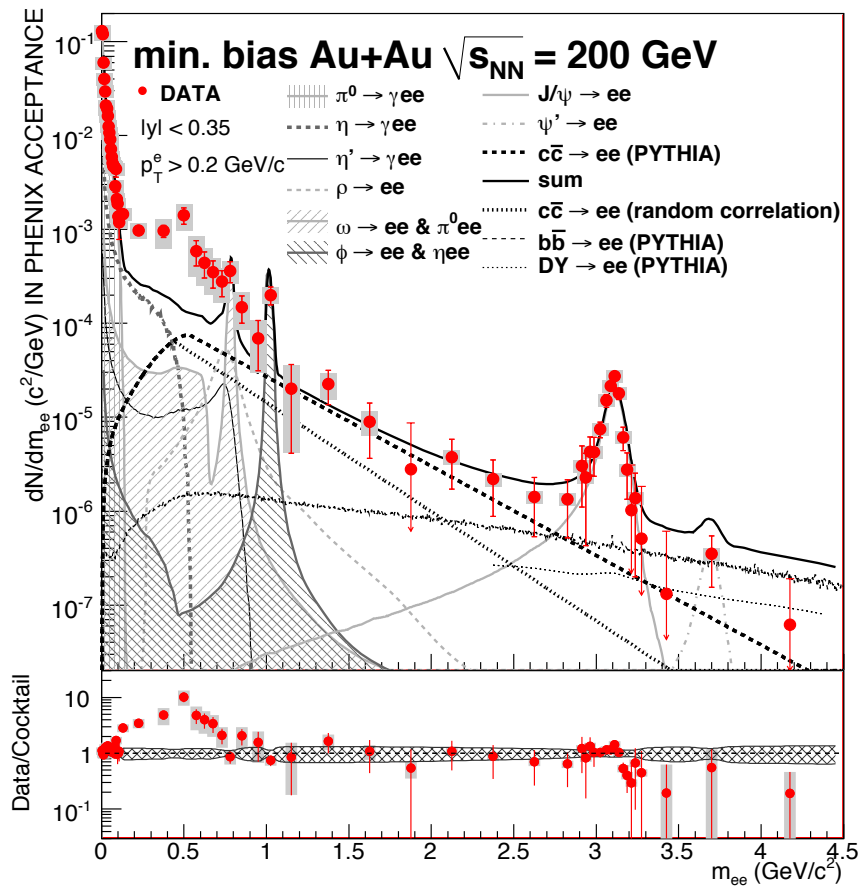


Heavy Ion Collisions



- Dileptons and photons are penetrating probes
- Produced during all stages of collision
- Very small interaction cross section with QGP
- Contributions from many production mechanisms
- Yields sensitive to temperature and collective motion of source

Dielectrons in PHENIX



PRC 81, 034911 (2010)

Low mass:
resonances/ Dalitz decays

Intermediate mass:
semi-leptonic heavy flavor

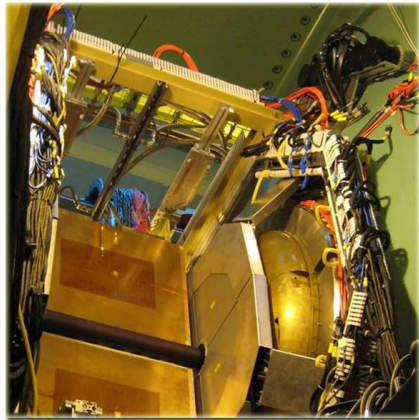
High mass:
resonances/hard processes

Strong enhancement of $e+e^-$ pairs at low masses, factor of $4.7 \pm 0.4^{\text{stat}} \pm 1.5^{\text{syst}} \pm 0.9^{\text{model}}$ ($m=0.2-0.7$ GeV/c²).

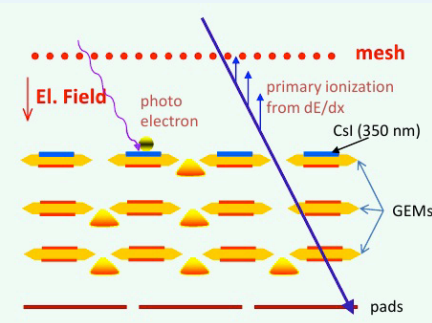
Currently no theory successfully explains this excess.

HBD Detector Concept

NIM A646, 35 (2011)



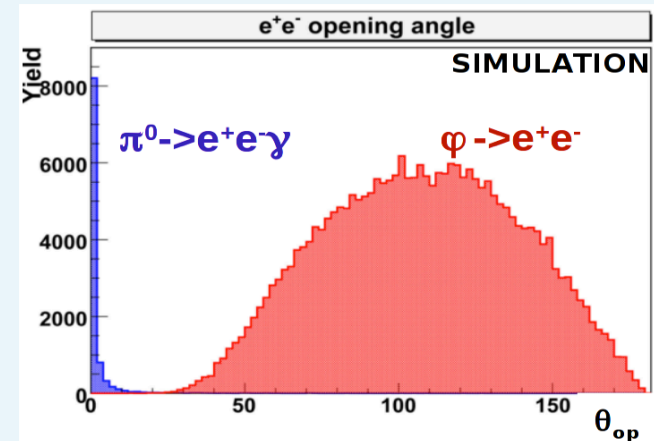
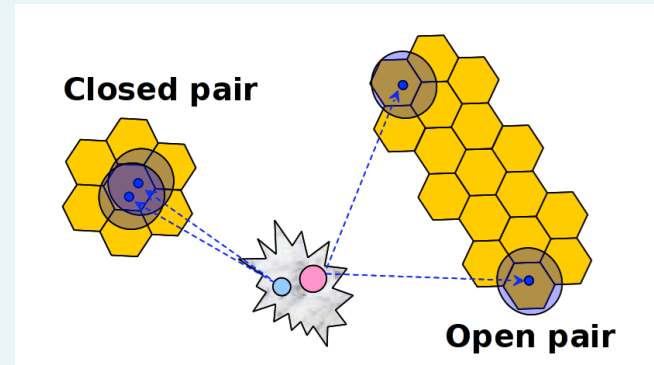
Successfully operated:
2009 p+p data
2010 Au+Au data



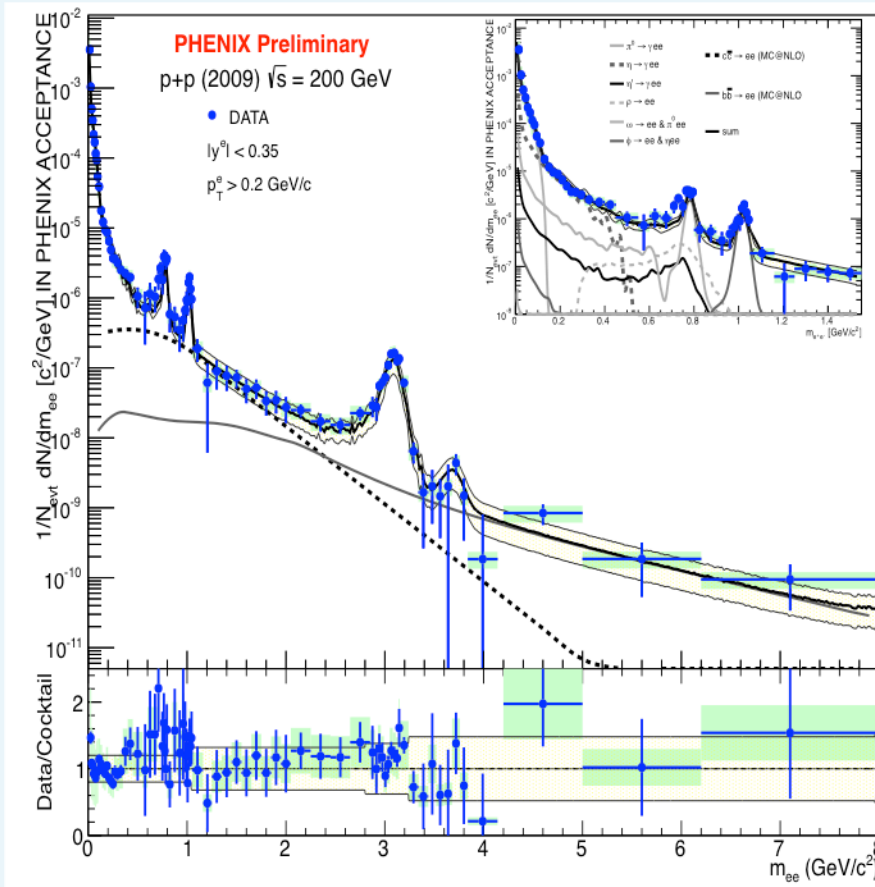
Windowless Cherenkov detector
GEM, CSI photo-cathode
Pure CF₄: $N_0 = 322 \text{ cm}^{-1}$
2.4% total radiation length.

Heavier meson decays have large opening angles. Dalitz decays and conversions tightly peaked around $2m_e$.

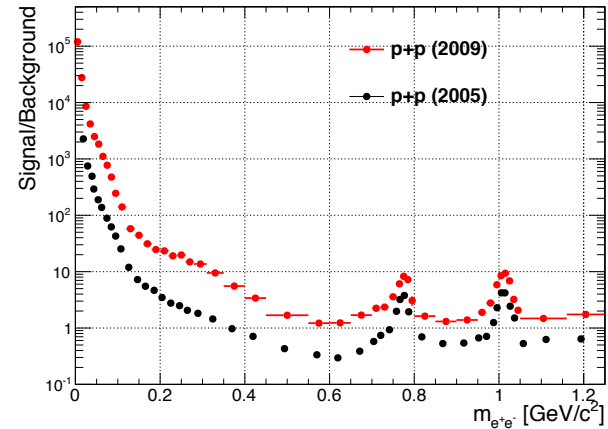
Possible to identify e^+e^- from π^0
Dalitz decays and conversions by
the opening angle.



First Dilepton Results with HBD



PHENIX 2009 data set

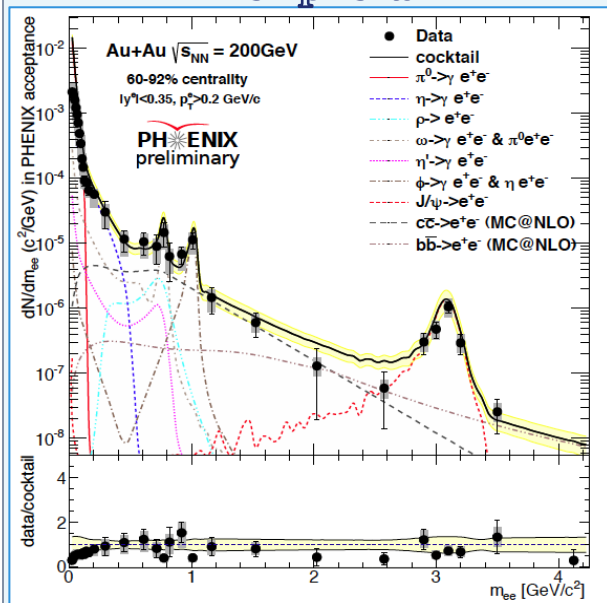


- Higher Statistics than 2005 data.
- Excellent agreement between data and cocktail.
- Baseline for Au+Au analysis, provides testing ground for understanding the HBD.
- Fully consistent with published result PR C81, 034911 (2010)

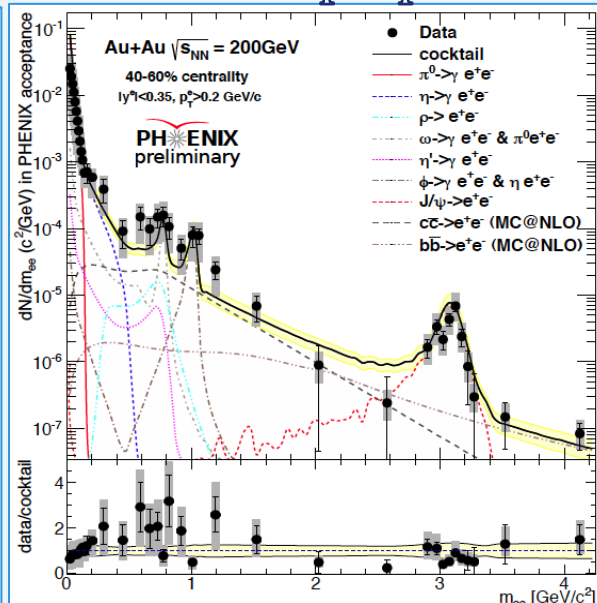
Dilepton Results in AuAu



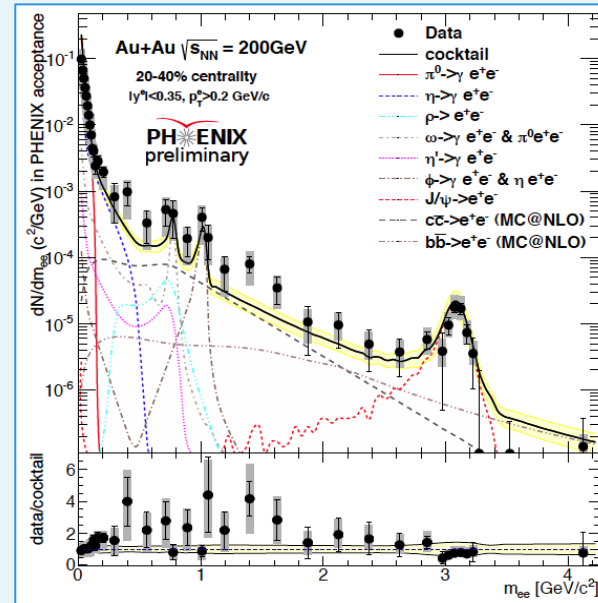
Peripheral



Semi-peripheral

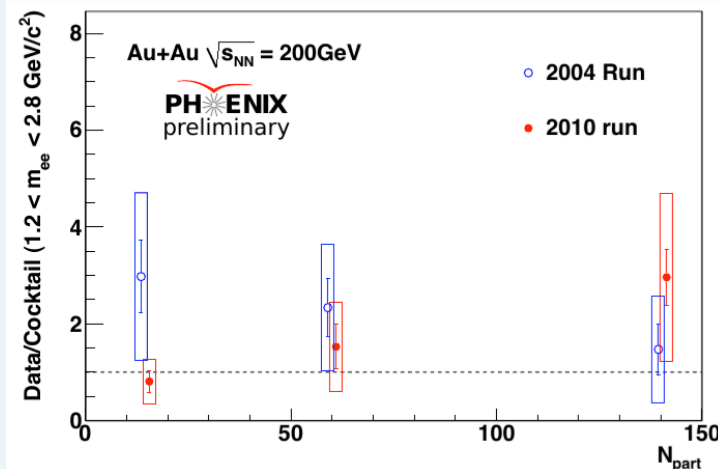
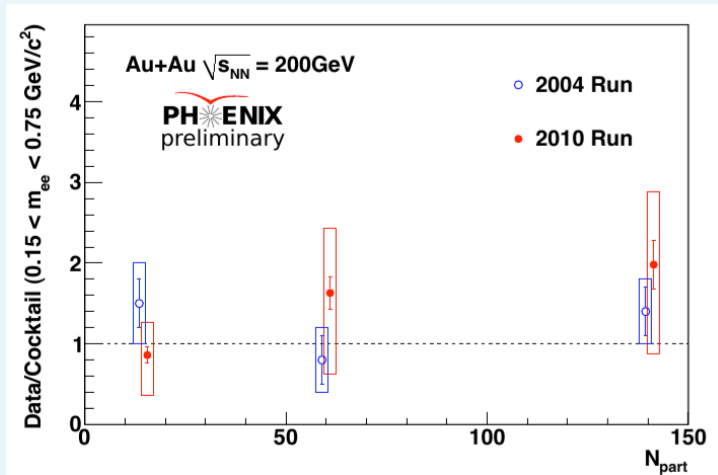


Semi-central

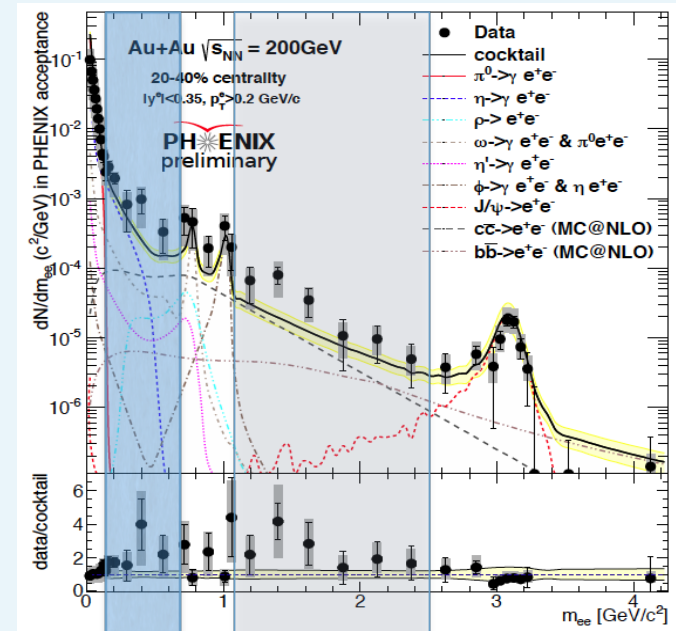


Dilepton Spectrum for 3 centrality classes: 60-92%, 40-60%, 20-40%

Au+Au Comparison



Semi-central



Data/Cocktail

LMR ($m=0.15\text{-}0.75 \text{ GeV}/c^2$)

(value \pm stat \pm sys)

PHENIX
Run 4 (20-40%)

$1.4 \pm 0.3 \pm 0.4$

PHENIX
Run 10 (20-40%)
(preliminary)

$1.98 \pm 0.3 \pm 0.9$

What are Direct Photons?

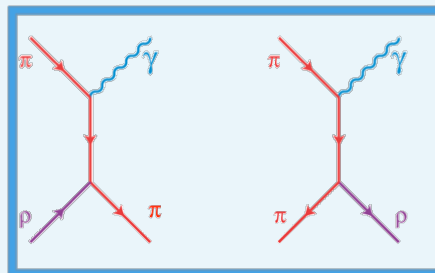
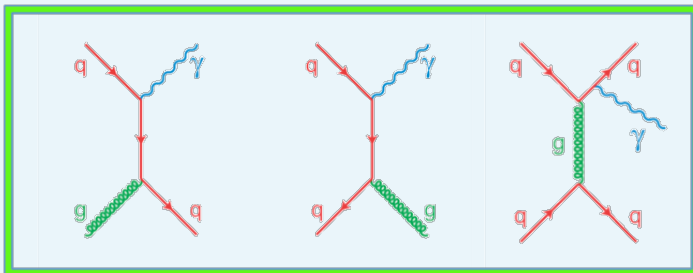


- Direct photons are anything not considered hadron decay photons.

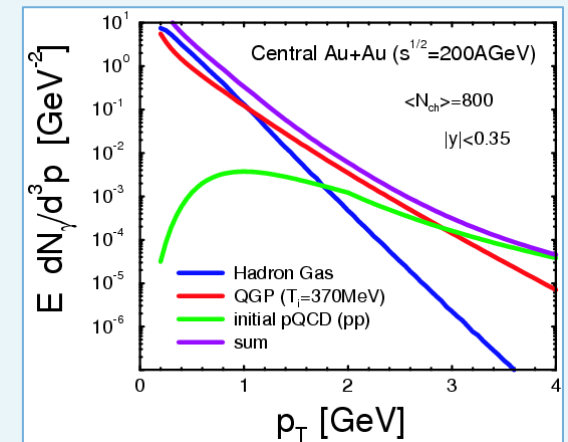
$$\gamma^{Direct} = \gamma^{All} - \gamma^{Decay}$$

- There are several sources of direct photons. Each carrying specific information about the medium.

$$\frac{dN_{\gamma}^{Direct}}{d^2 p_T dy}(M, b) = E \frac{dN_{\gamma}^{prompt}}{d^3 p} + E \frac{dN_{\gamma}^{QGP}}{d^3 p} + E \frac{dN_{\gamma}^{HG}}{d^3 p} + \dots$$



Turbide, Rapp, Gale,
Phys. Rev. C 69 (014903), 2004



- Azimuthal anisotropy of direct photons should allow us to extract these different components.

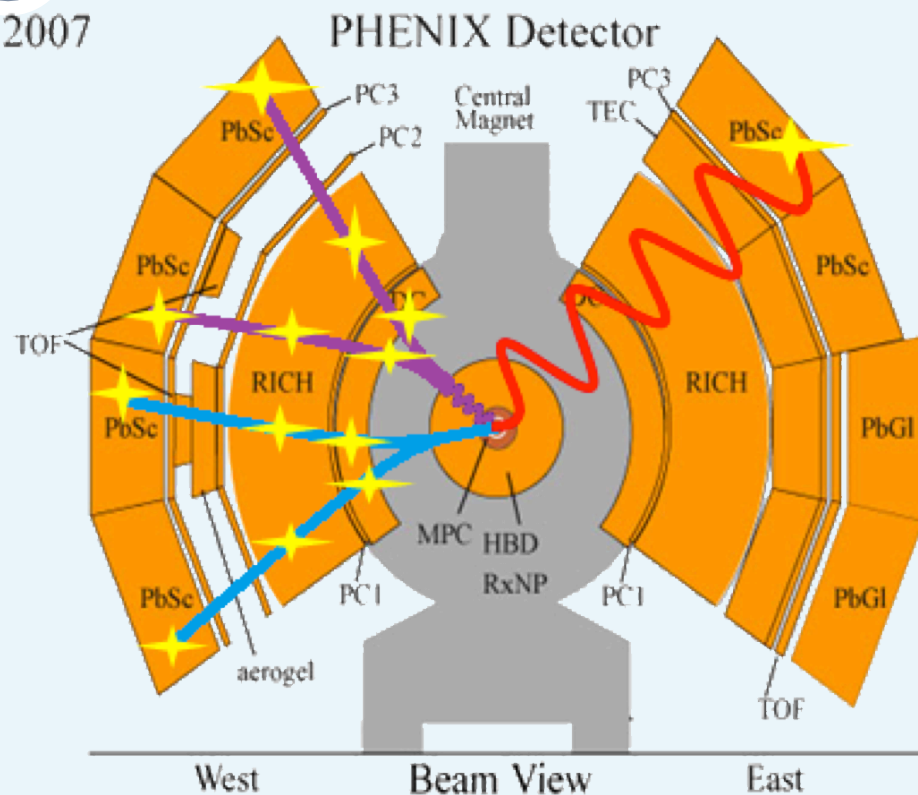
Direct Photon Measurement methods



2007

3 techniques at PHENIX

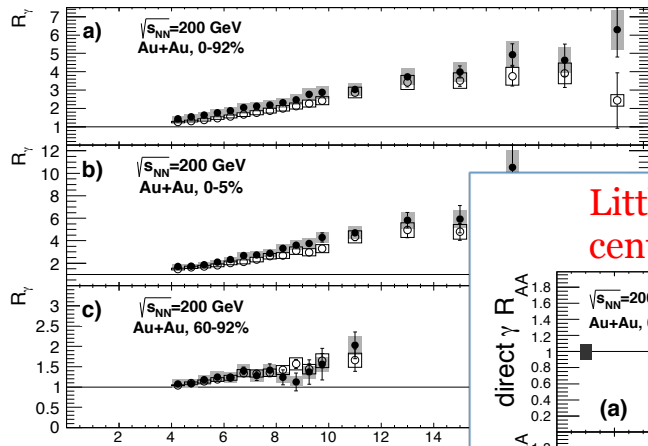
- Measure photons that directly deposit energy into the EMCal
 - ✦ Statistically subtract hadron decay γ from inclusive γ
 - ✦ Works best at higher momentum $p_T > 5 \text{ GeV}/c$
- Measure virtual photons that internally convert into e^+e^- pairs
 - ✦ Yield of virtual photons is related to real photon production
 - ✦ Allows a clean low p_T measurement $p_T < 5 \text{ GeV}/c$
- Measure real photons that externally convert in material into e^+e^- pairs
 - ✦ Complementary to virtual photon method



- Large background from hadron decays makes analysis difficult

Measuring Photons in Au+Au using EMCal

Excess above unity indicates signal

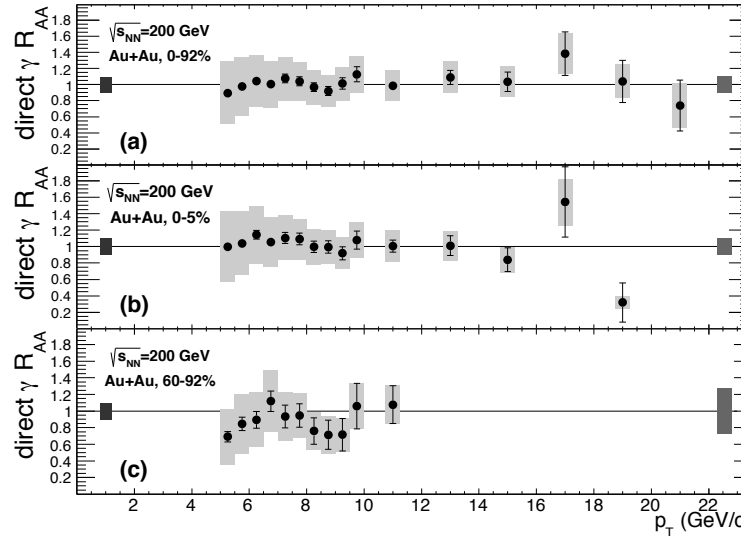


$$R_\gamma = \frac{\gamma_{data}^{inclusive} / \pi_{data}^0}{\gamma_{MC}^{decay} / \pi_{MC}^0}$$

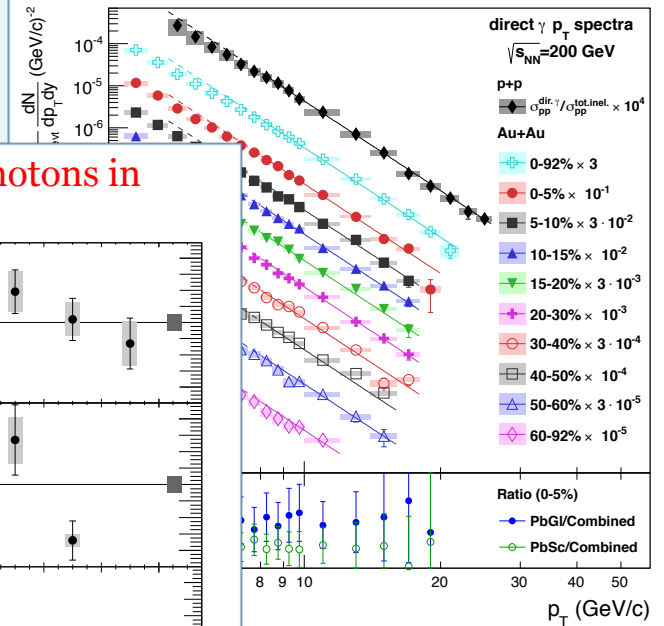
More details in Sasha Bazilevsky talk

PRL 109, 152302 (2012)

Little/no suppression of photons in central Au+Au

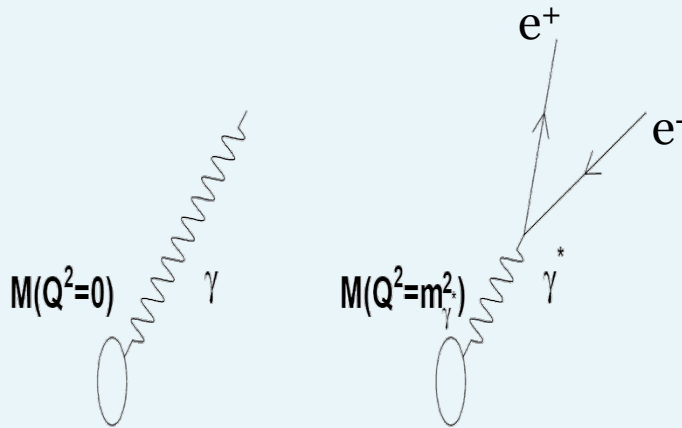


Direct photons by subtracting inclusive photons



$$(1 - 1/R_\gamma) \gamma^{inclusive}$$

Relation between Real and Virtual Photons



Kroll-Wada Formula

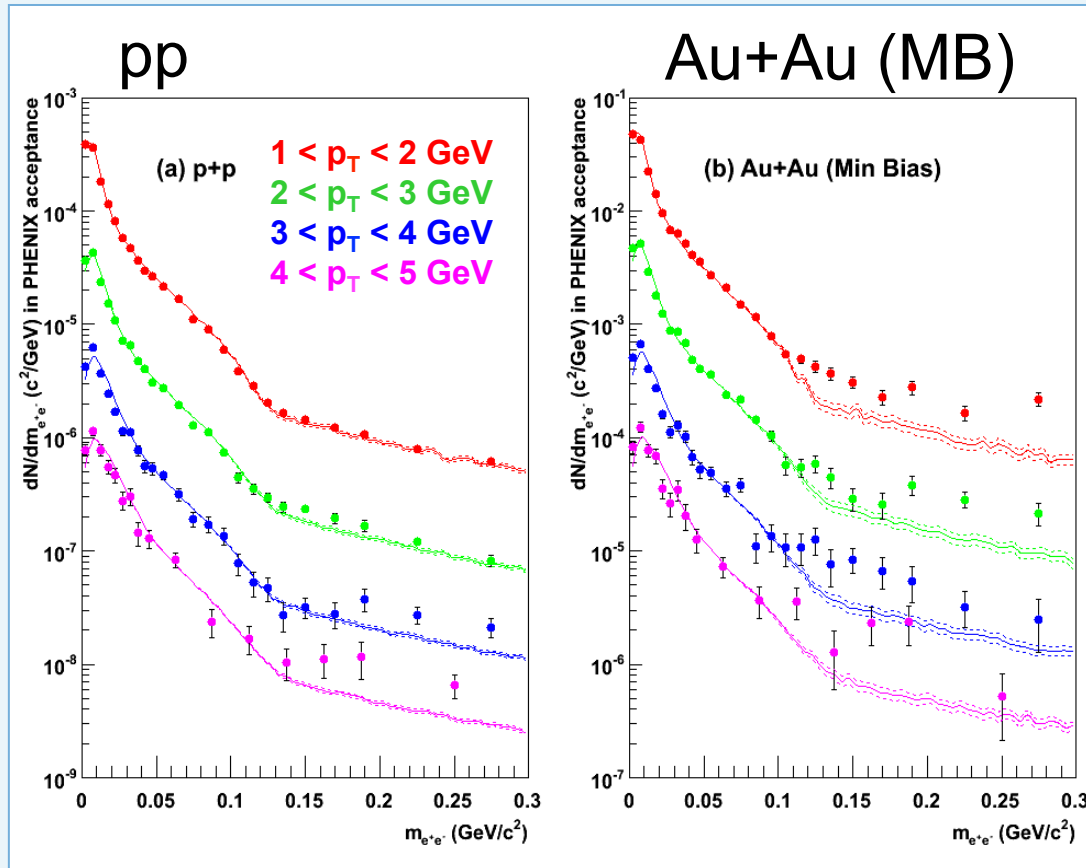
$$\frac{d^2 N_{ee}}{dm_{ee} dp_T} \approx \frac{2\alpha}{3\pi} \frac{1}{m_{ee}} \sqrt{1 - \frac{4m_e^2}{m_{ee}^2}} \left(1 + \frac{2m_e^2}{m_{ee}^2}\right) S(m, q) \frac{dN_\gamma}{dp_T}$$

As $m_{ee}/p_T \rightarrow 0$, then

$$\frac{d^2 N_{ee}}{dm_{ee} dp_T} \approx \frac{2\alpha}{3\pi} \frac{1}{m_{ee}} \frac{dN_\gamma}{dp_T}$$

- Processes which produce real photons can also produce virtual photons which materialize as electron pairs.
- Real photon production can be determined from the excess electron pairs.

Enhancement of almost real photon



PRL 104, 132301 (2010)

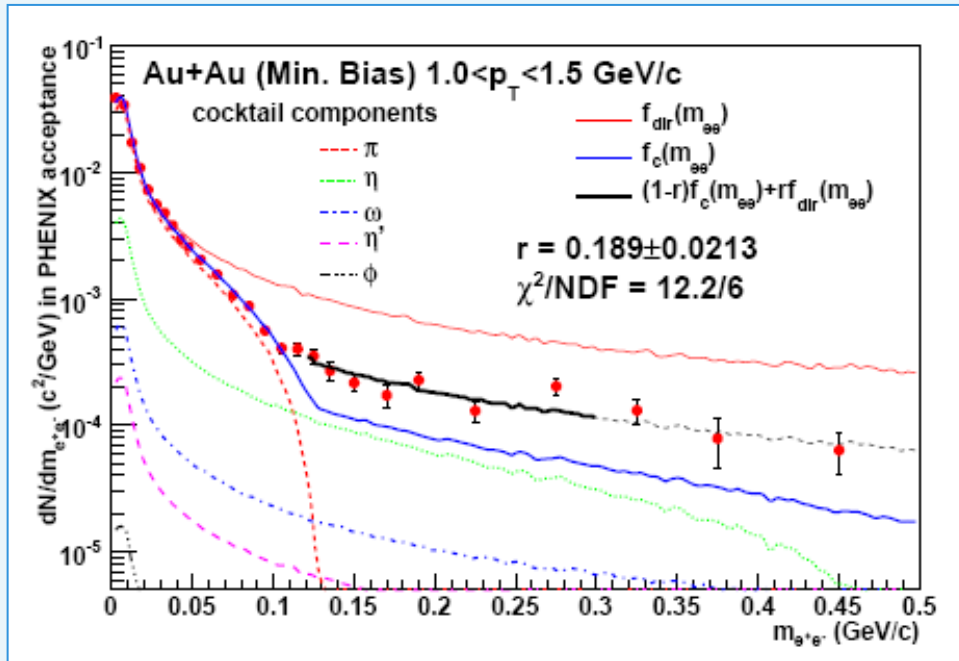
p+p

- Good agreement of p+p data and hadronic decay cocktail
- Small excess in p+p at large m_{ee} and high p_T

Au+Au

- Clear enhancement visible above π^0 mass for all p_T

Extracting the Fraction of Direct Photons

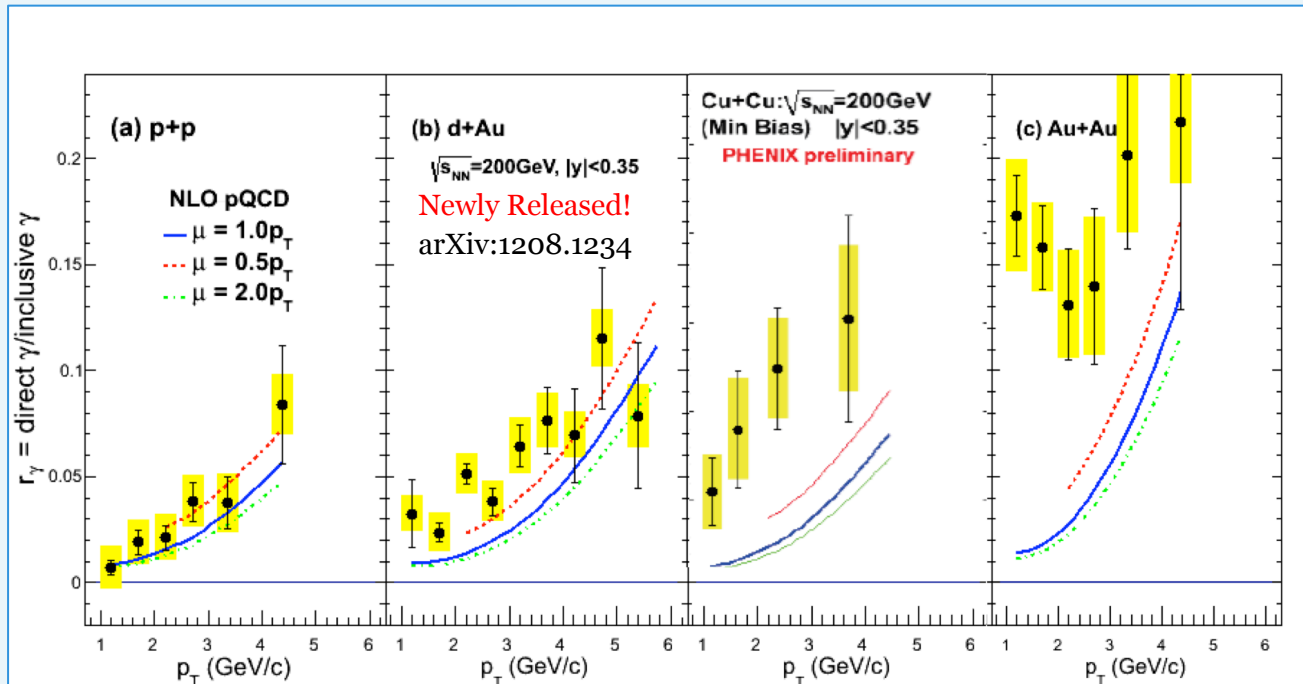


PRL 104, 132301 (2010)

- Measure low mass, high momentum dileptons
- Kinematic region of e^+e^- pairs $m < 300$ MeV and $1 < p_T < 5$ GeV/c
- Analyze above π^0 mass to remove 90% of hadron background
- Fit mass distribution with a two-component function
- This excess is used to infer the yield of real direct photons by extrapolating to $m_{ee} = 0$.

$$f(m_{ee}) = (1 - r) \cdot f_{cocktail}(m_{ee}) + r \cdot f_{direct}(m_{ee}) \quad \longrightarrow \quad r_\gamma = \frac{\gamma_{dir}^*(m > 0.15)}{\gamma_{inc}^*(m > 0.15)} \propto \frac{\gamma_{dir}^*(m \approx 0)}{\gamma_{inc}^*(m \approx 0)} = \frac{\gamma_{dir}}{\gamma_{inc}}$$

Direct Photons In Different Systems



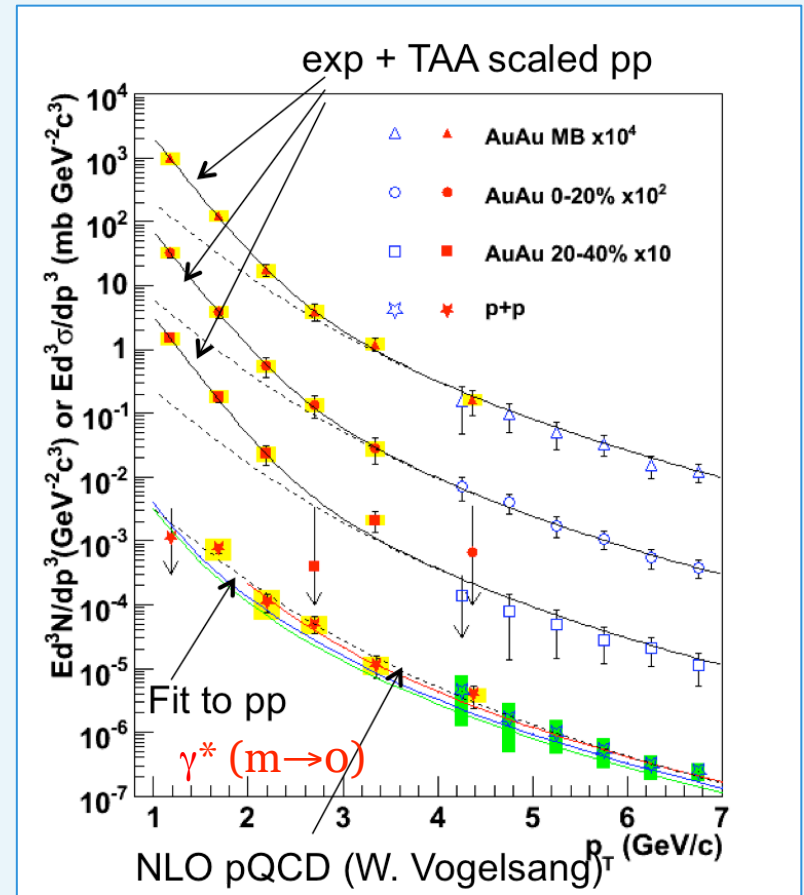
- PHENIX has measured low p_T direct photon ratio in various collision systems, showing clear enhancement in Au+Au and Cu+Cu.
- Essentially no enhancement is observed for p+p and d+Au.
- CNM effect measured in d+Au does not explain the excess in Cu+Cu Au+Au

Direct Photon Production in PHENIX

$$\gamma_{direct} = \gamma_{incl.} \cdot \frac{\gamma_{direct}^*}{\gamma_{incl.}^*}$$

- For p+p consistent with pQCD down to $p_T=1$ GeV/c
- For Au+Au there is a significant low p_T excess above p+p expectations.
- Exponential consistent with thermal

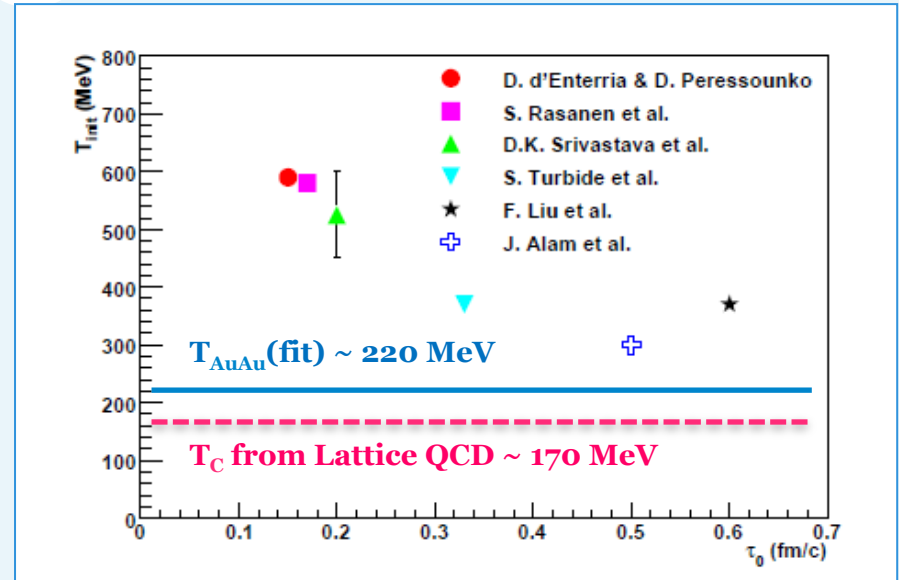
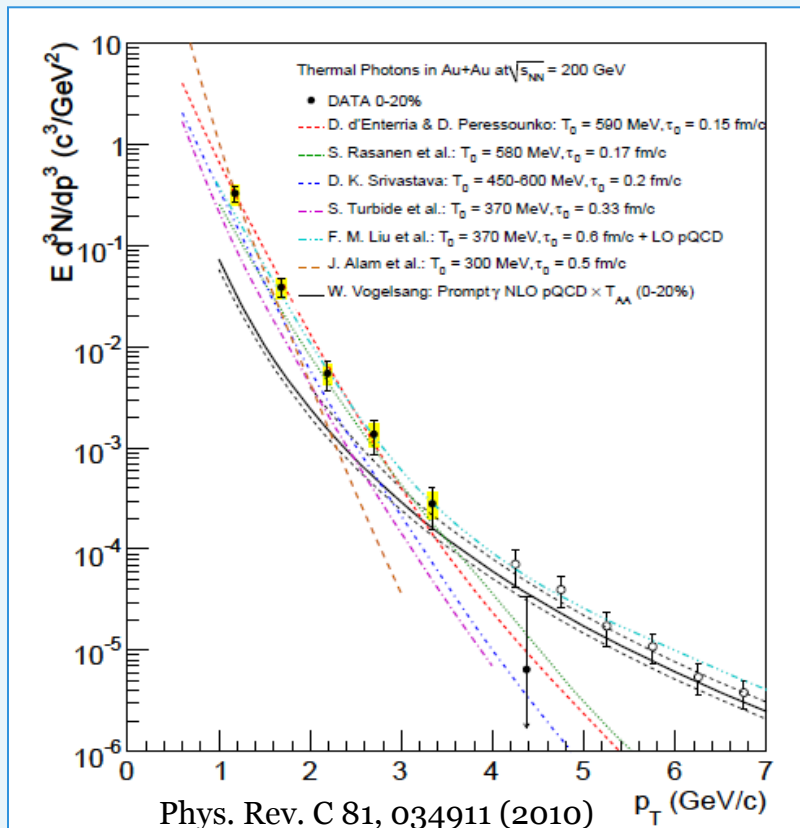
$$T_{ave} = 221 \pm 19^{stat} \pm 19^{sys} \text{ MeV}$$



A. Adare et al., PRL104,132301(2010)

Comparing the Yield to Theory

Derive limits on temperature by interpreting excess as Thermal Radiation

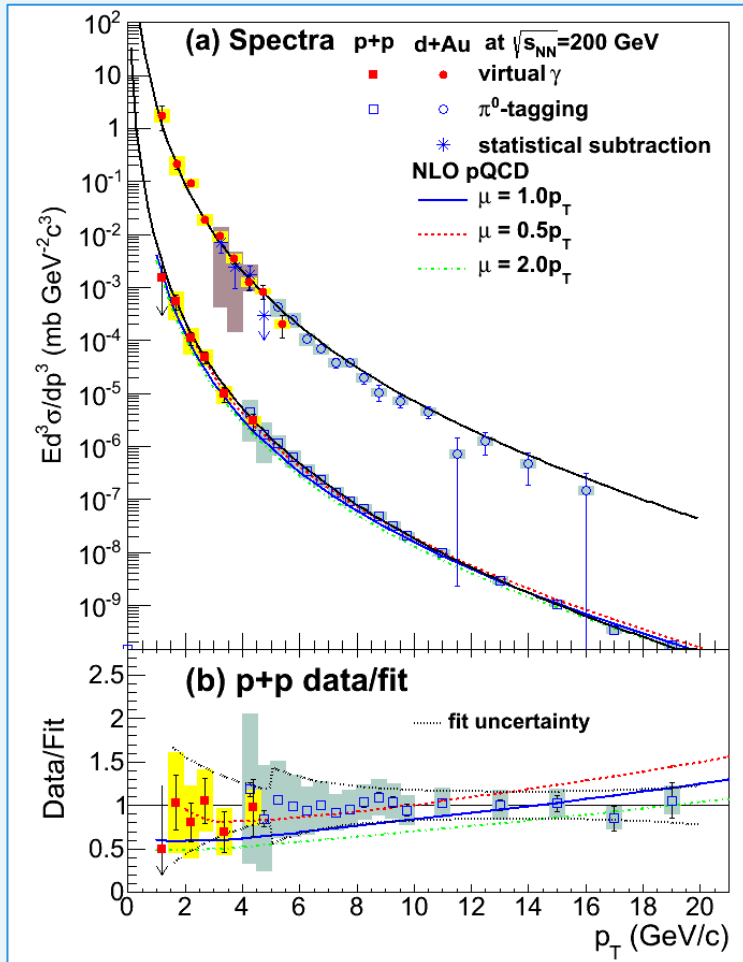


- Fitting excess has slope of $T \sim 220 \text{ MeV}$ implies initial temperature of 300-600 MeV depending on model.
- Thermalization time range from about 0.6 to 0.15 fm/c

Direct photons in d+Au

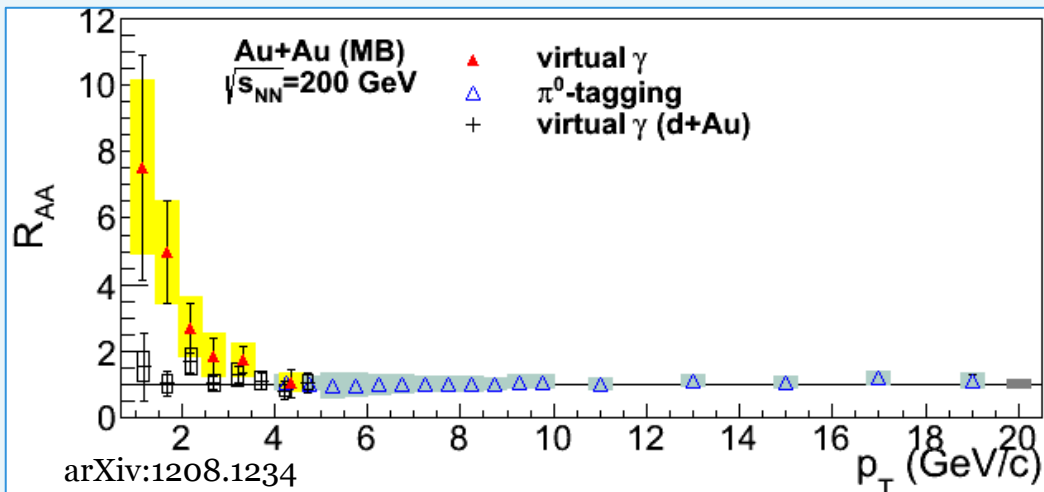
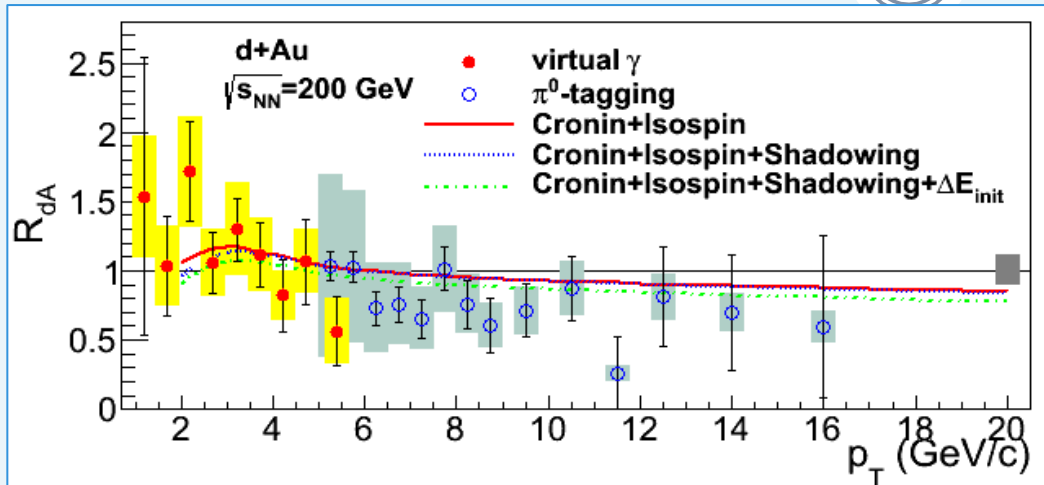


- Direct photons in d+Au measured via 3 independent methods:
 - virtual photons
 - π^0 tagging
 - statistical subtraction
- The NLO pQCD fit to the p+p data, scaled by Ncoll, reproduces well the d+Au data
- No excess of photons.



arXiv:1208.1234

Direct photons in d+Au and Au+Au

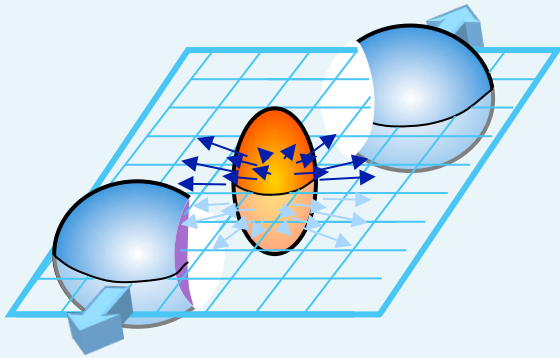


- R_{dA} is consistent with unity
- No excess in d-Au collisions
- Large excess of γ observed in Au+Au is not due to initial state effects
- Reinforce interpretation of the Au+Au excess as thermal radiation.

Direct Photons & Collective Flow



- Elliptic Flow

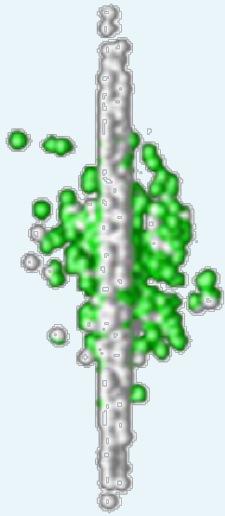


- A nucleus-nucleus collision is typically not head on.
- Overlapping region forms initial almond-shape anisotropy.
- Spatial anisotropy \rightarrow p_T anisotropy

- To describe the evolution of the shape use a Fourier decomposition, i.e. flow coefficients v_n
- Large azimuthal anisotropies in the particle emission are collective phenomena.

Disentangling the sources

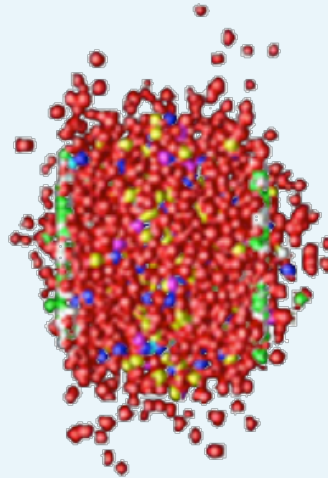
- Measurements of v_2 could give information on specific stages of the fireball expansion.



Initial collision

Hard scattering of partons $v_2=0$

Pre-thermalized radiation $v_2=?$



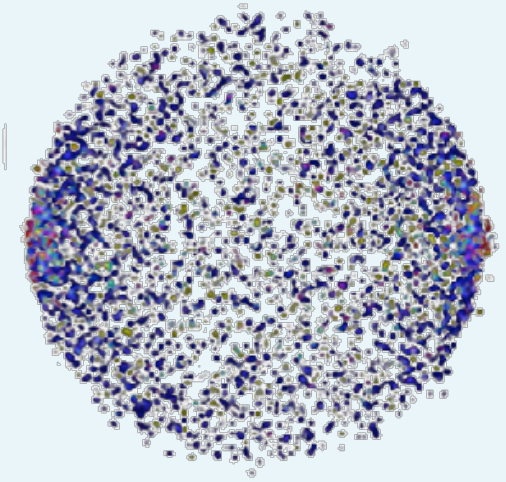
QGP

Thermal radiation $v_2>0$

Jet Fragmentation $v_2>0$

Bremsstrahlung $v_2<0$

Jet conversions $v_2<0$

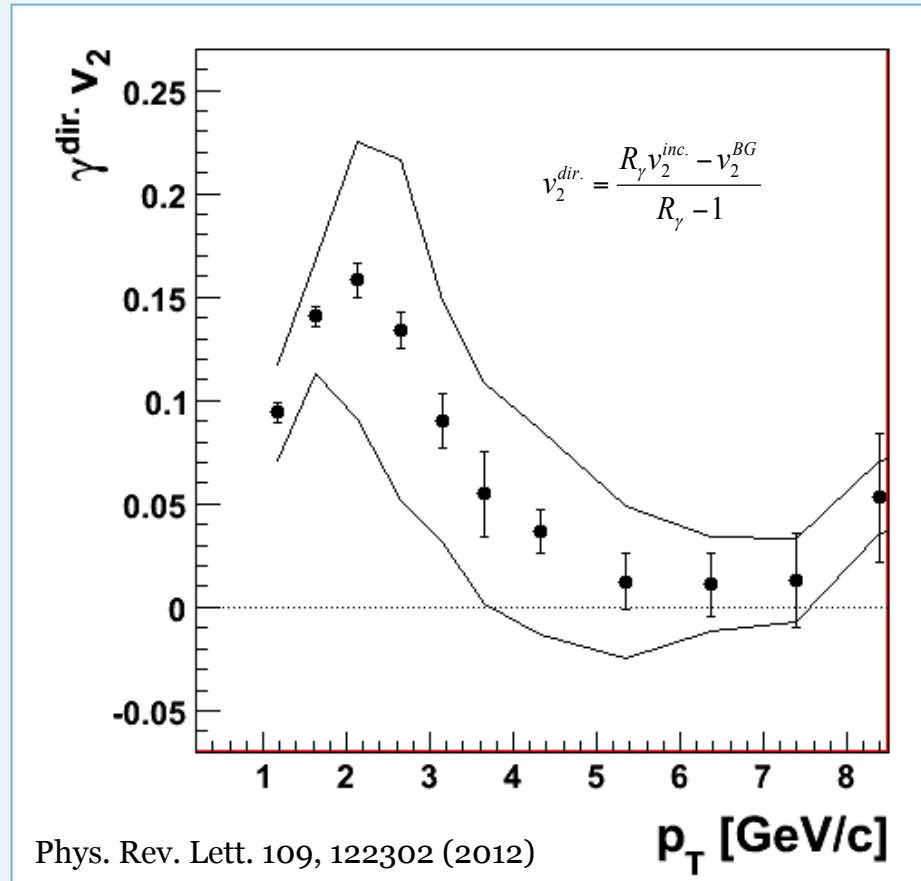


Hadron Gas

Thermal radiation $v_2>0$

High p_T phenomenon.
Reflective of geometry not dynamics.

Direct photon Elliptic Flow

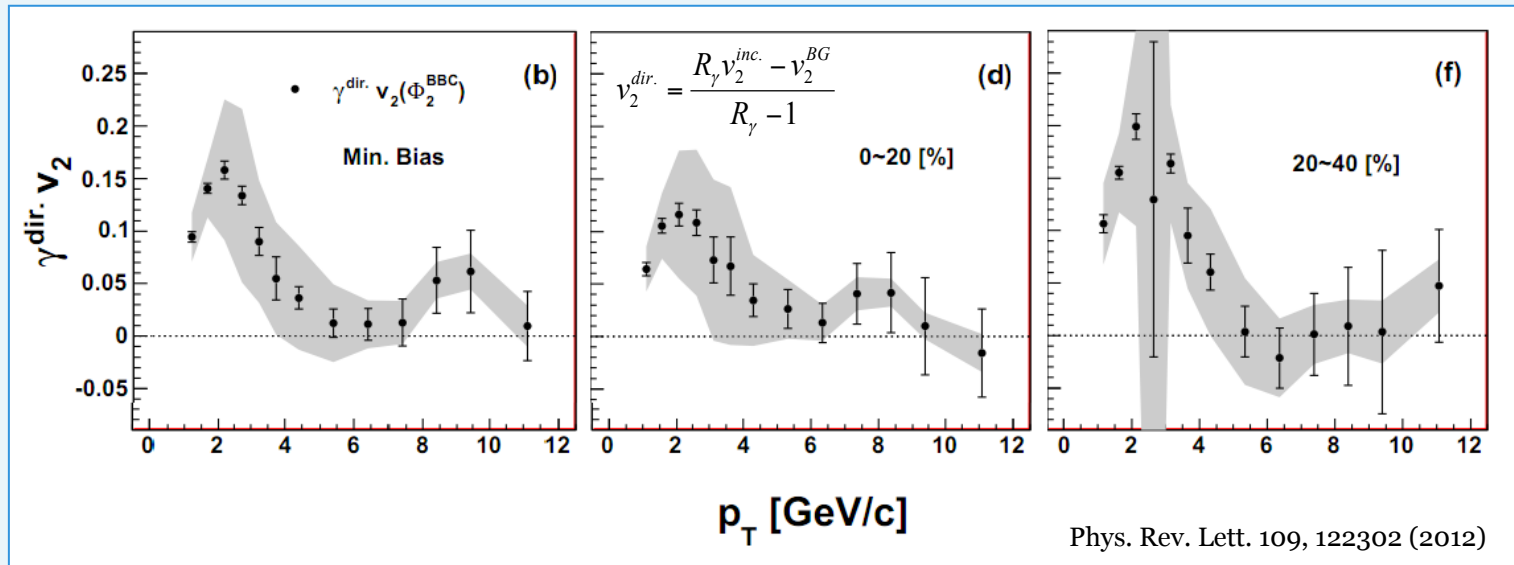


How to determine elliptic flow of direct photons?

- Establish R_γ as fraction of inclusive photons over decay photons.
- Measure v_2 for inclusive photon yield correcting for hadron contamination.
- Predict hadron decay photon v_2 from measured pion v_2 and ncq scaling of other hadrons.
- Subtract hadron decay contribution from inclusive photon v_2 to arrive at direct photon v_2 .

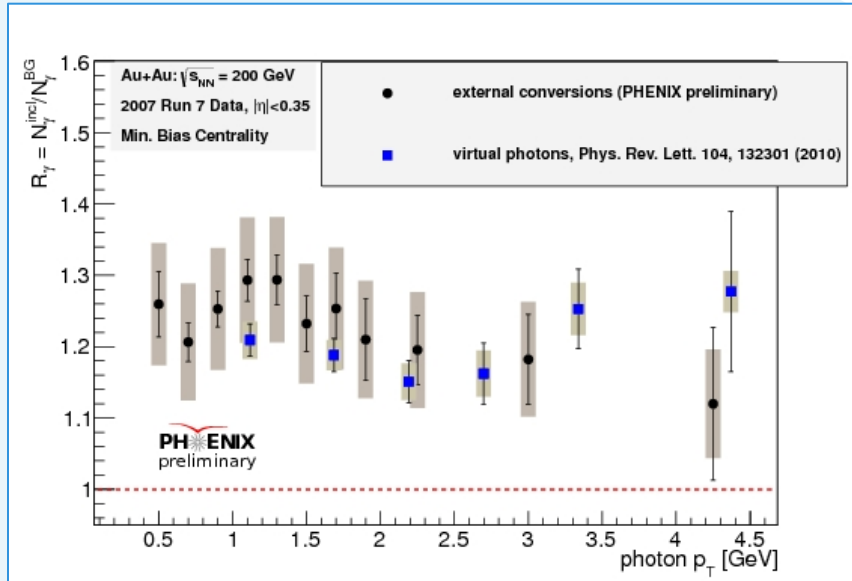
$$v_2^{dir.} = \frac{R_\gamma v_2^{inc.} - v_2^{BG}}{R_\gamma - 1}$$

Direct photon v_2

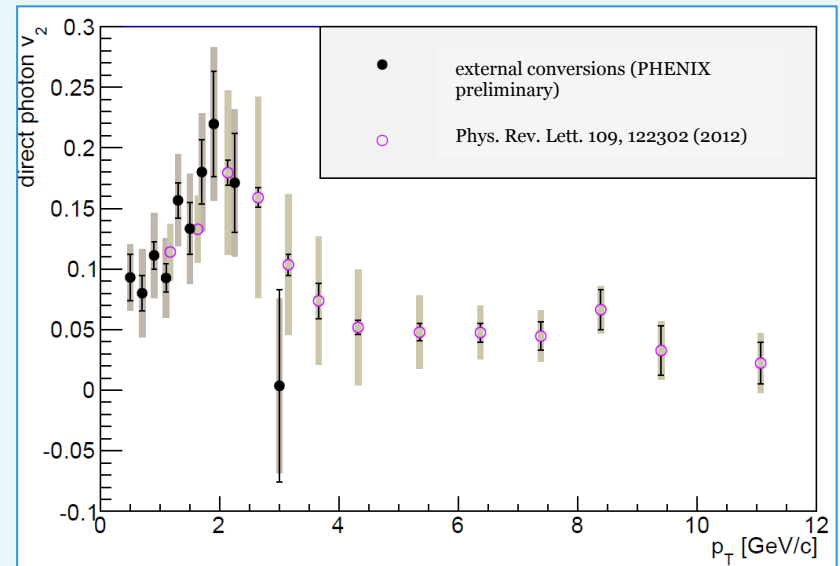


- We observe a significant direct photon signal with significant v_2
- Similar to inclusive photon and π^0 v_2 at low momentum
- v_2 drops to zero for $p_T > 5$ GeV, where hard processes dominate

Nice Crosscheck: External Conversions!

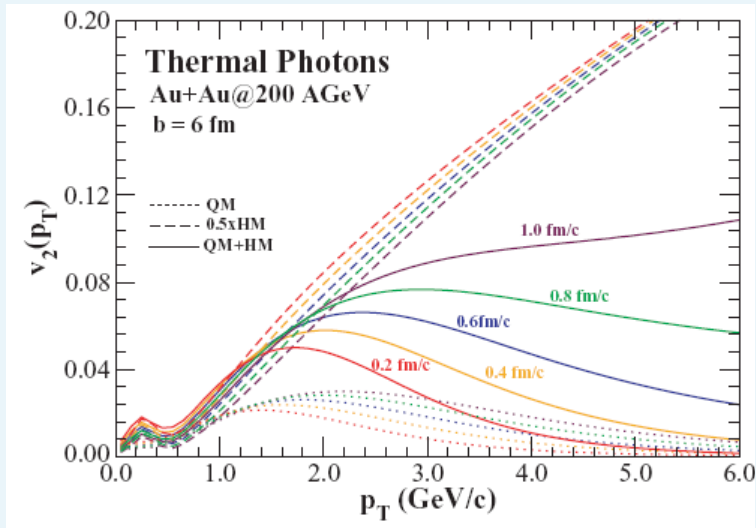


- Independent analysis
- Different systematics
- p_T range extended down to 0.5 GeV/c

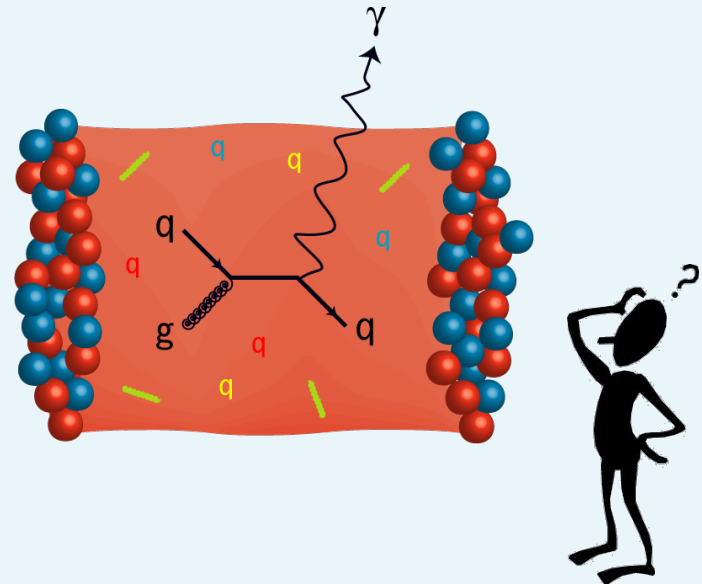


$$v_2^{dir.} = \frac{R_\gamma v_2^{inc.} - v_2^{BG}}{R_\gamma - 1}$$

Thermal Photon Puzzle



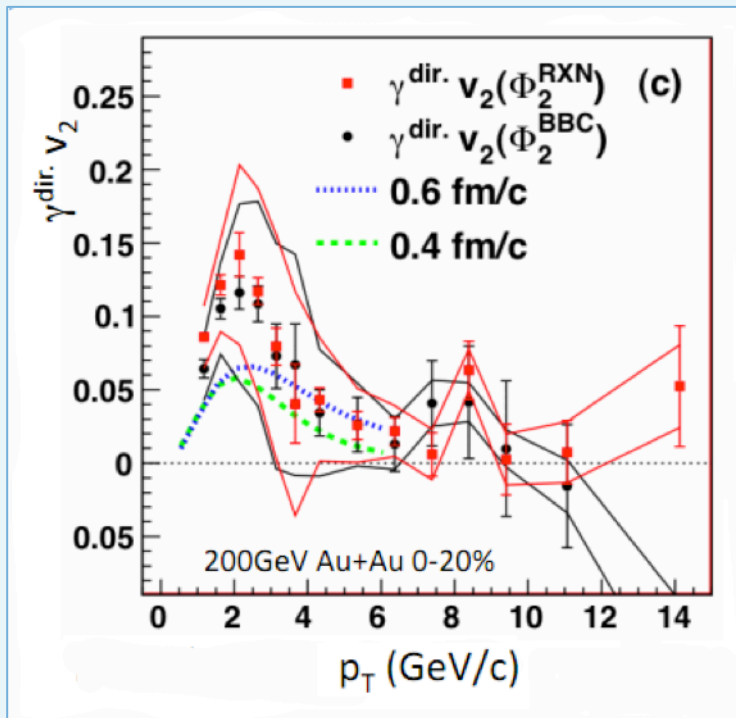
R. Chatterjee & D. K. Srivastava, **PRC 79, 021901 (2009)**



- Very surprising result: large v_2 implies late emission whereas high temperature implies early emission.
- Difficult to reconcile with the current understanding of the evolution. Theory mostly underpredicts.
- Possibly other sources of low p_T photons other than thermal radiation?

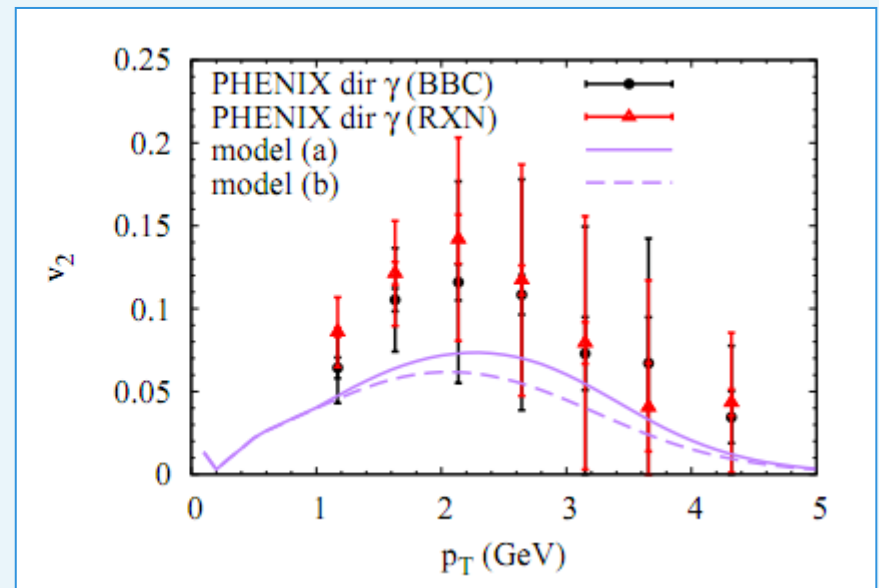
What does it mean? Compare to theory

Chatterjee, Srivastava PRC79, 021901 (2009)
PHENIX, arXiv:1105.4126



Hydrodynamics with a thermalization at early times followed by hadronization and decoupling.

H. van Hees, C. Gale, R. Rapp
Phys. Rev. C 84, 054906 (2011)



Thermal radiation dominated by hadronic phase. Hadronic phase lasts longer and elliptic flow builds up faster.

Summary & Conclusions

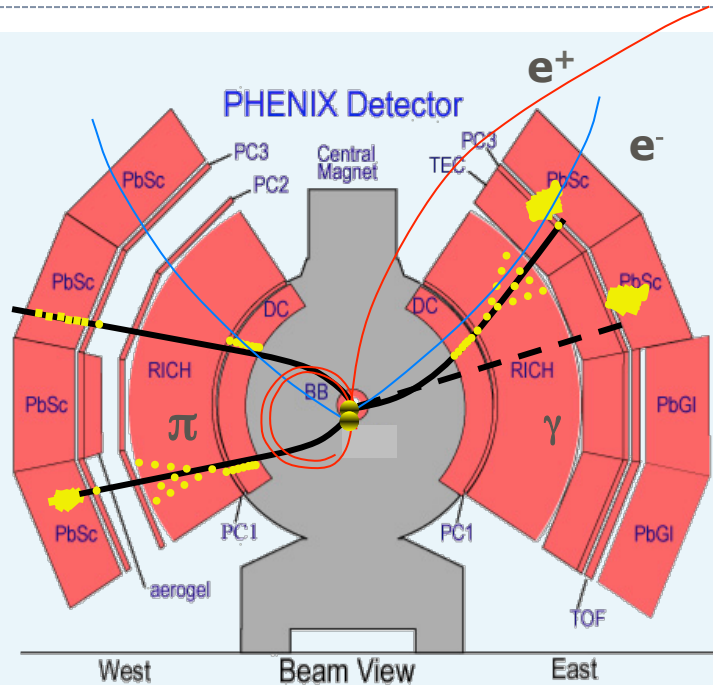


- Electromagnetic radiation has great potential to explore general properties and early time dynamics of Quark Gluon Plasma.
- Looking at dielectron pairs is a nice tool to get a clean direct photon signal at low p_T
- PHENIX has measured direct photons in various collisional systems (including baseline p+p, d+Au, Cu+Cu, and Au+Au)
- No significant enhancement in the baseline systems p+p and d+Au, but significant enhancement in A+A
- Large elliptic flow observed for direct photons which remains a bit of a mystery.
- Theorists are working on reconciling these measurements.



BACKUP SLIDES

Dielectrons in PHENIX



Typically only 1 electron from a pair falls within the PHENIX acceptance.

Both members of the pair are needed to reconstruct a Dalitz decay or a γ conversion.

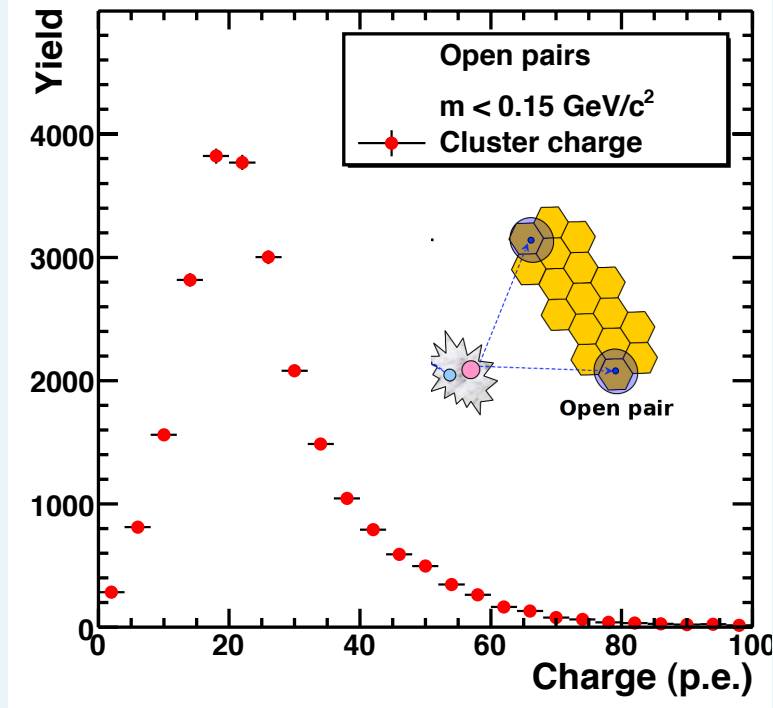
Limited geometrical acceptance of present PHENIX configuration.

Experimental challenge: huge combinatorial background arising from e^+e^- pairs from copiously produced from π^0 Dalitz decay and γ conversions.

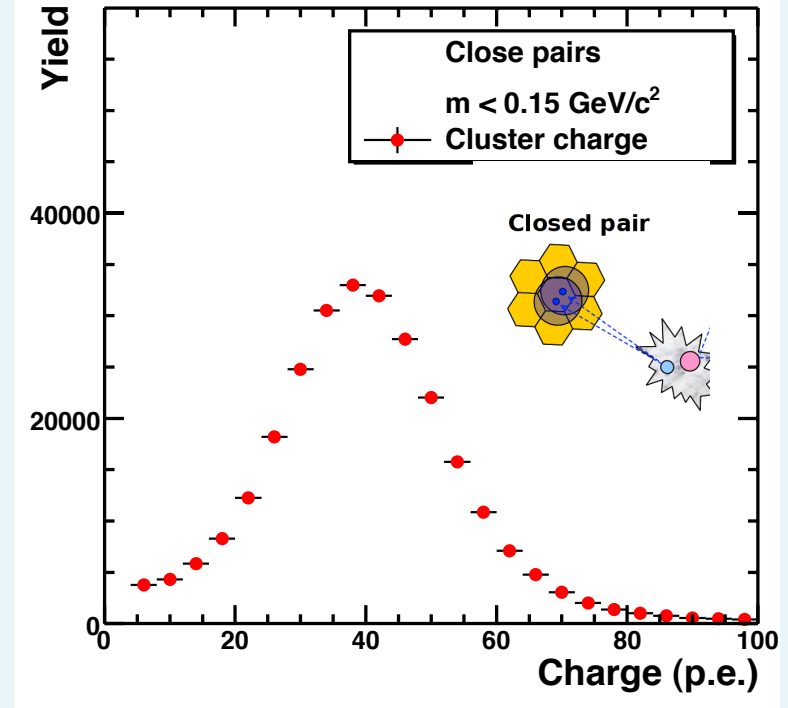
Detector	$\Delta\eta$	$\Delta\phi$	Field
PHENIX Central Arms	+/- 0.35	180°	up to 1.15 T

Inner and outer magnet coils producing field-free region for $r < 55$ cm

HBD Performance



Single electron charge peaks at 20 pe
e+e- large opening angle ($>100 \text{ mrad}$)
→ Vector mesons or other signal



Double electron charge peaks at $\sim 40 \text{ pe}$
e+e- small opening angle ($<30 \text{ mrad}$)
→ Dalitz or conversion candidate

Good single to double separation

Cocktail

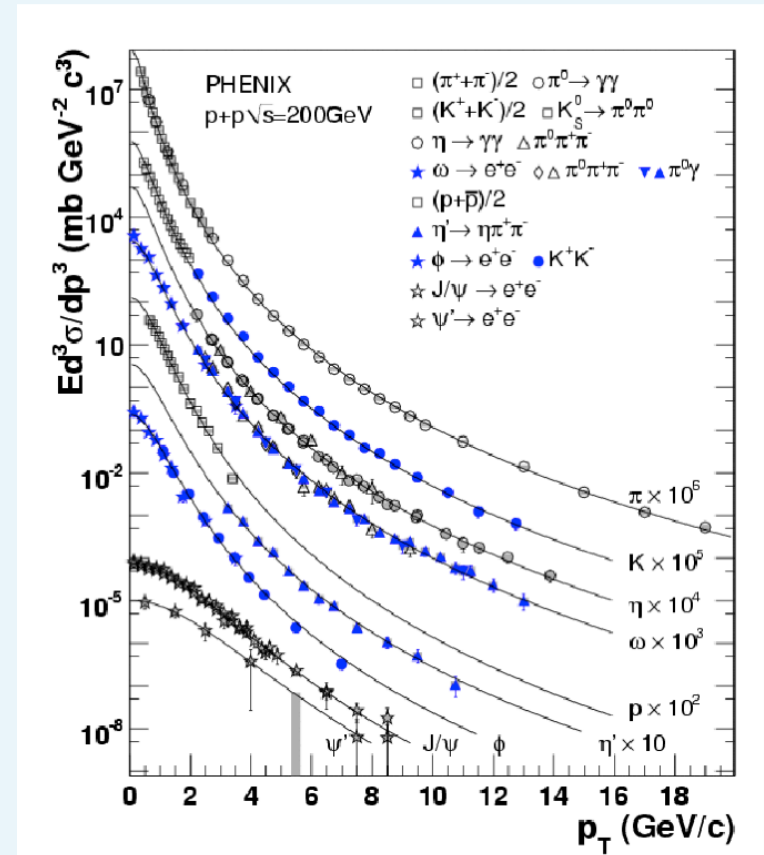


Hadronic cocktail is estimated using measured data from π^0 and charged pions fit to a modified Hagedorn function. m_T scaling is used for shape of other hadrons.

$$E \frac{d^3\sigma}{dp^3} = A \left(e^{-(ap_T + bp_T^2)} + p_T/p_0 \right)^{-n}$$

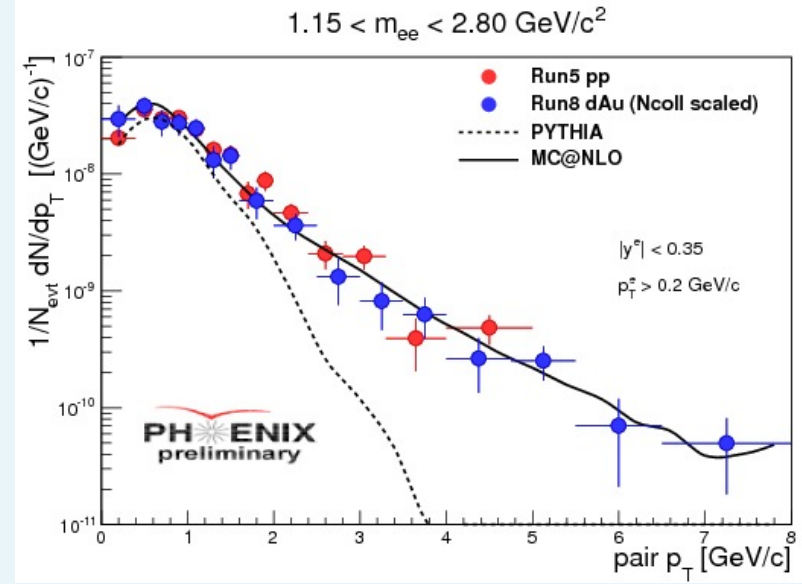
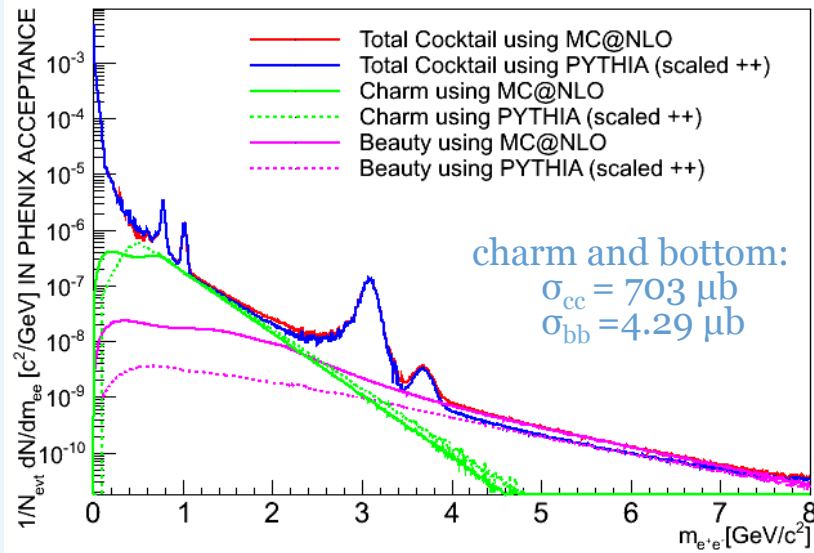
$$p_T \rightarrow \sqrt{p_T^2 - m_{\pi^0}^2 + m_h^2}$$

Open heavy flavor (c,b) contributions determined using MC@NLO



PRC 81, 034911 (2010)

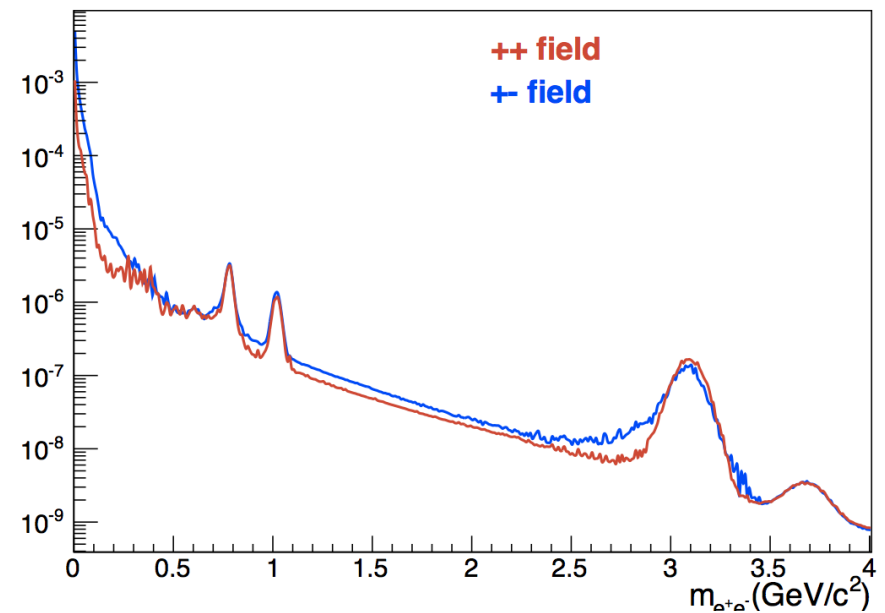
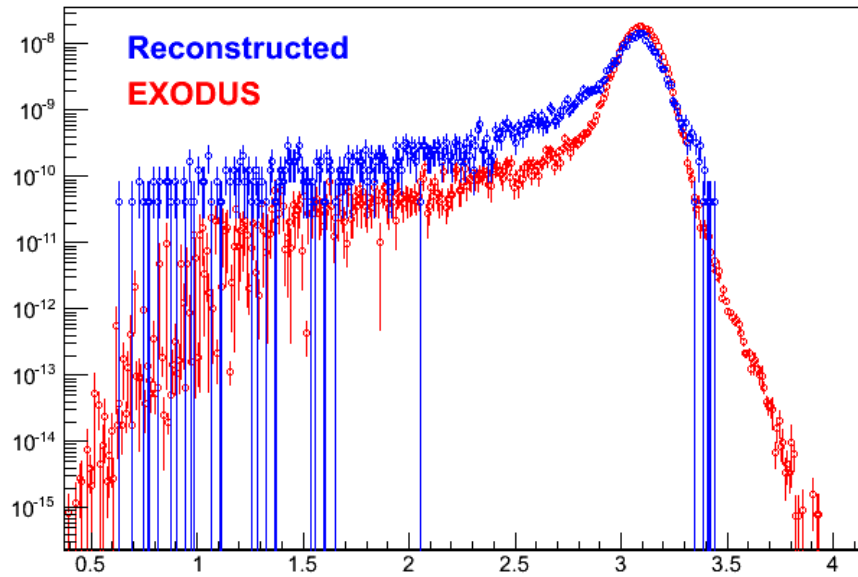
MC@NLO



Negligible difference in total cocktail when using **PYTHIA** vs **MC@NLO** for open heavy flavor.

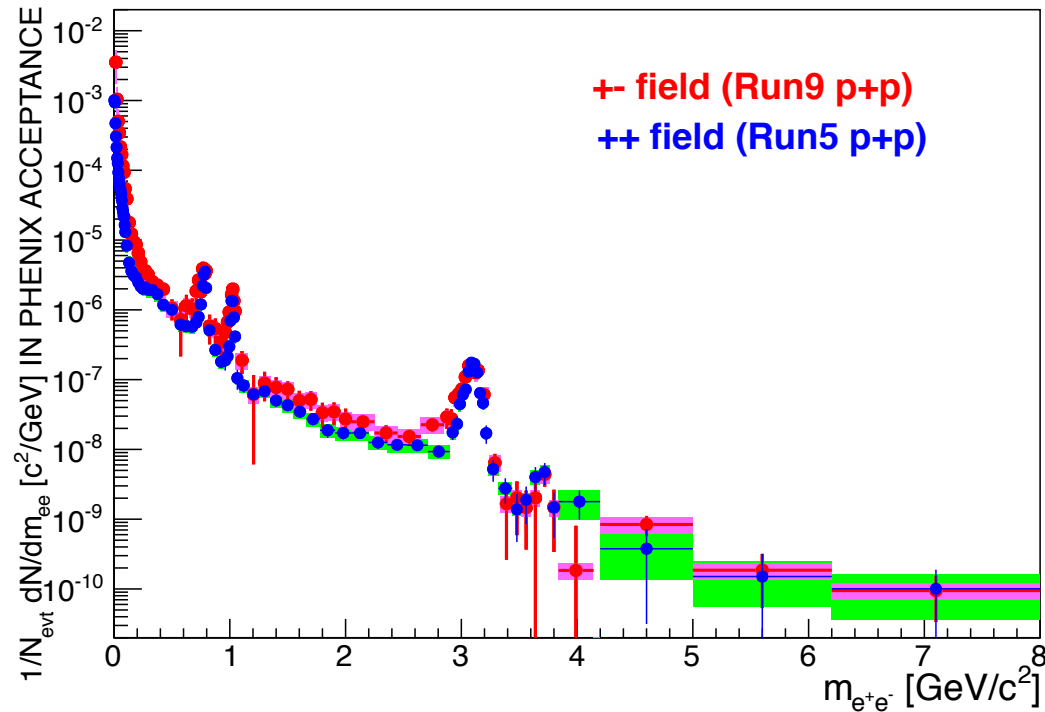
MC@NLO reproduces the measured p_T distributions of e^+e^- pairs as opposed to **PYTHIA**.

Cocktail Comparison



The J/Psi mass is modified to account for detector resolution and radiative corrections. The final cocktail is modified to use the +- field configuration for PHENIX in Run 9.

Cocktail Comparison

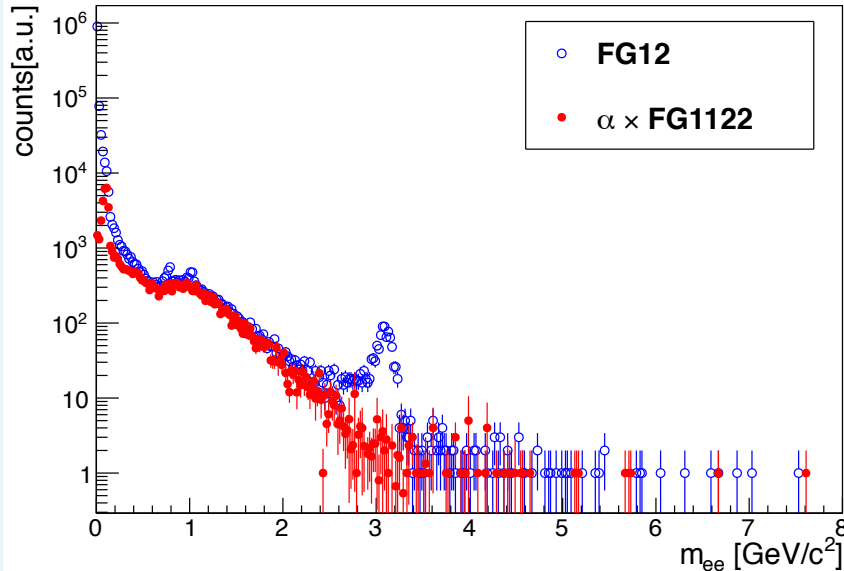


The dielectron mass spectrum obtained from this analysis compared to the previously published PHENIX Run5 p+p analysis.

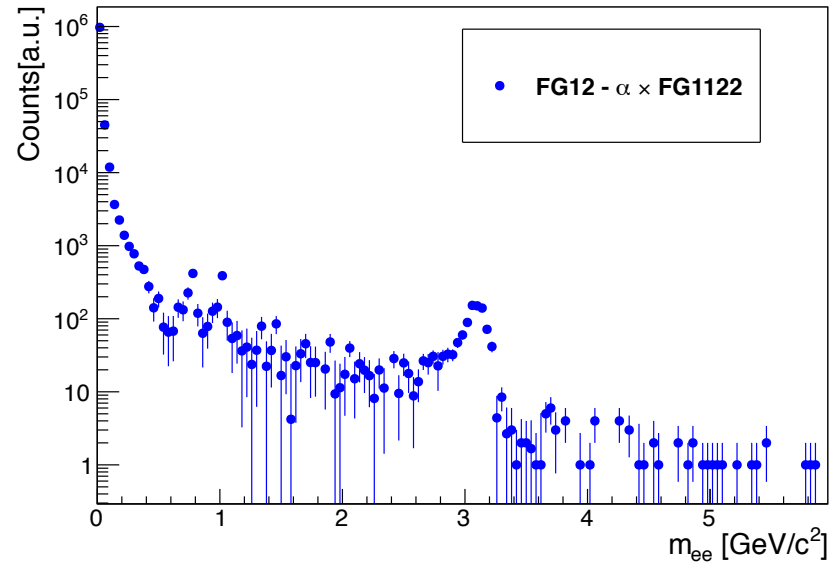
Background Subtraction



Mass of the pair vs. pair- p_T vs. ϕ_V xy projection



Mass of the pair vs. pair- p_T vs. ϕ_V xy projection



- Like sign subtraction technique is used to remove combinatorial background and correlated background.

Au+Au analysis Details



Two independent analysis streams: provide crucial consistency check
In both analyses, the combinatorial background is subtracted using mixed events.

Stream A

HBD: underlying event subtraction using average charge per pad

Neural network for eid and for single/double electron separation

Correlated background (cross pairs and jets) subtracted using acceptance corrected like-sign spectra

Stream B

HBD: underlying event subtraction using average charge in track projection neighborhood

Standard 1D eid cuts and single/double electron separation

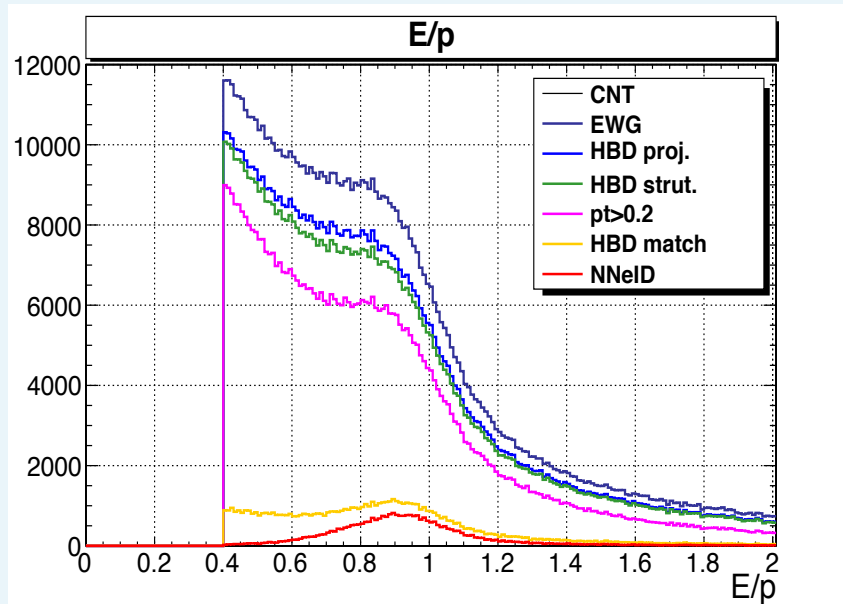
Correlated background subtracted using MC for the cross pairs and jet pairs.

Results for stream A will be compared to cocktail: 60-92%, 40-60%, 20-40%

Results for stream B are used as a cross check.

Strong run QA and strong fiducial cuts in both analysis streams

Steps in Analysis



The E/p distribution for each step of the analysis.

Step in eID

Track reconstruction

Electron selection cut

HBD projection cut

HBD strut cut

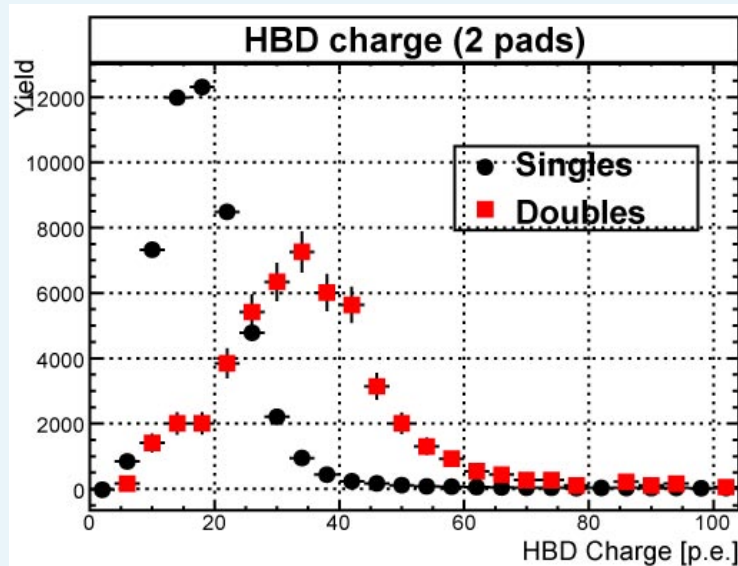
$p_T > 0.2 \text{ GeV}/c$

HBD matching

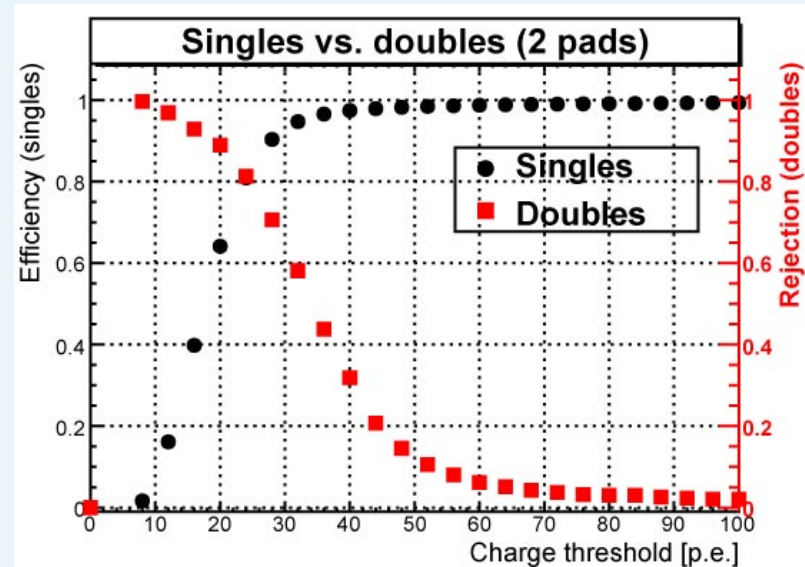
Neural network eID

NN input variables: E/p, prob, no, chi2/npeo, disp, hbdid, hbdsz

HBD double Hit Rejection

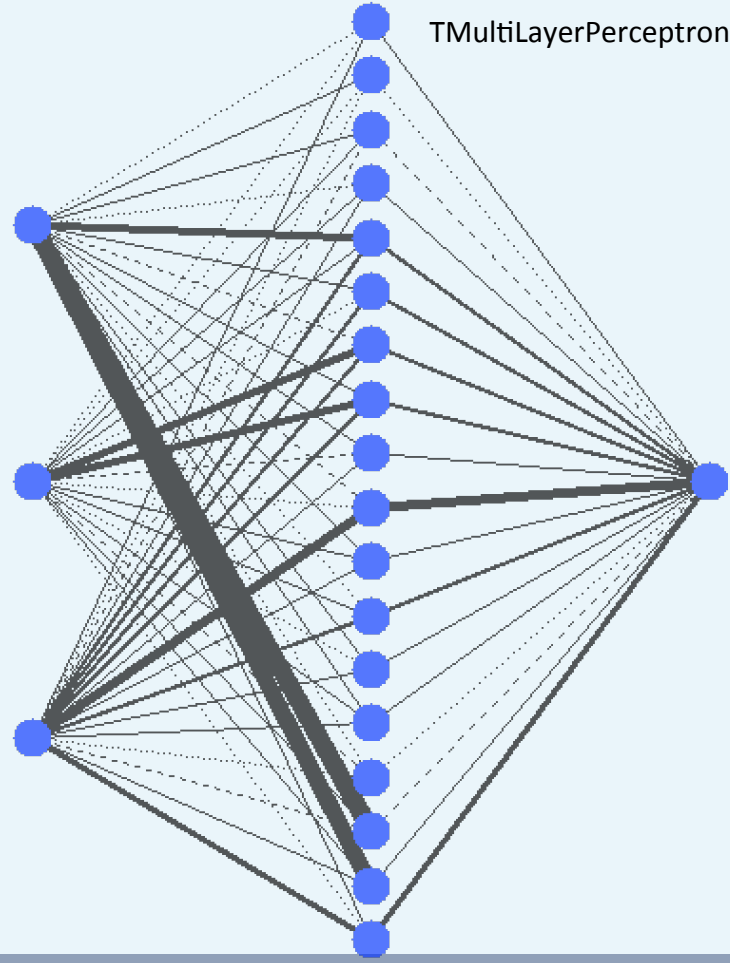
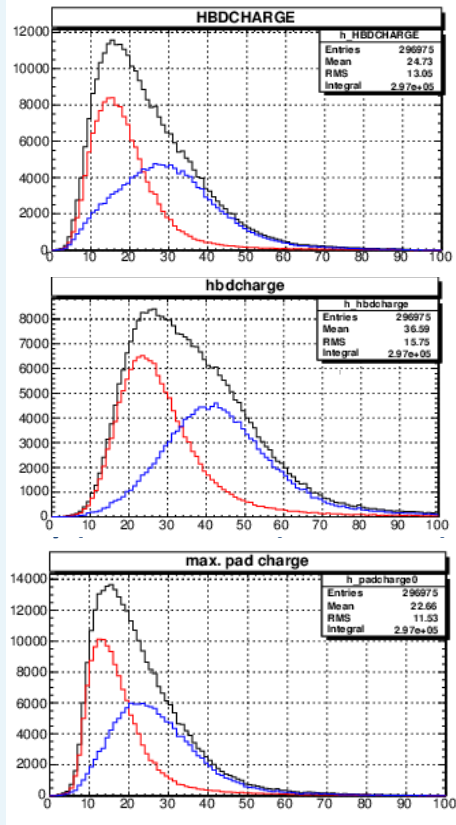


Simulated single and double charge response for clusters containing 2 pads.

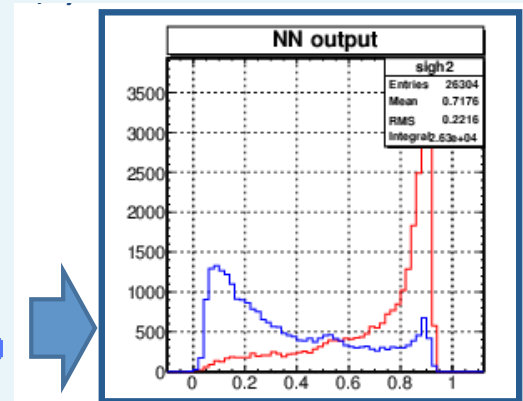


Efficiency and rejection for centrality 70-80%.

Neural Network Details



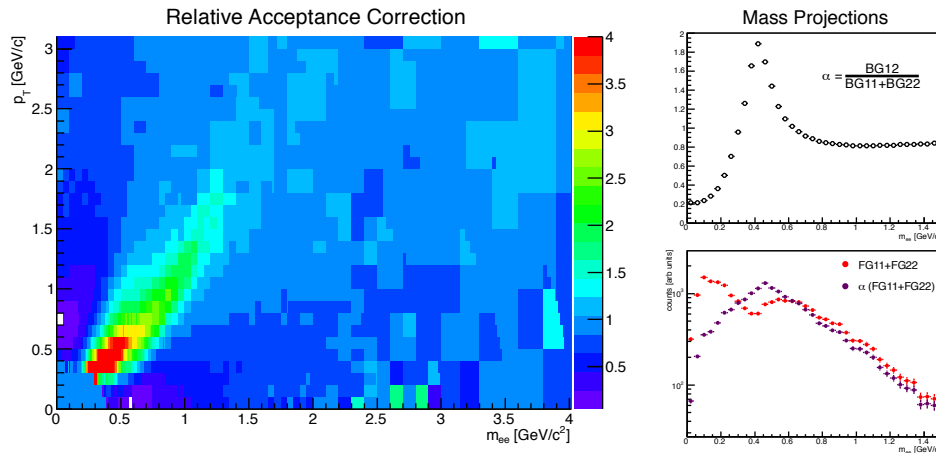
TMultiLayerPerceptron



— Signal
— Background

Neural Net output for **signal** (red) and **background** (blue) for the given input variables for centrality 30-40% and HBDSIZE=2.

Background Subtraction



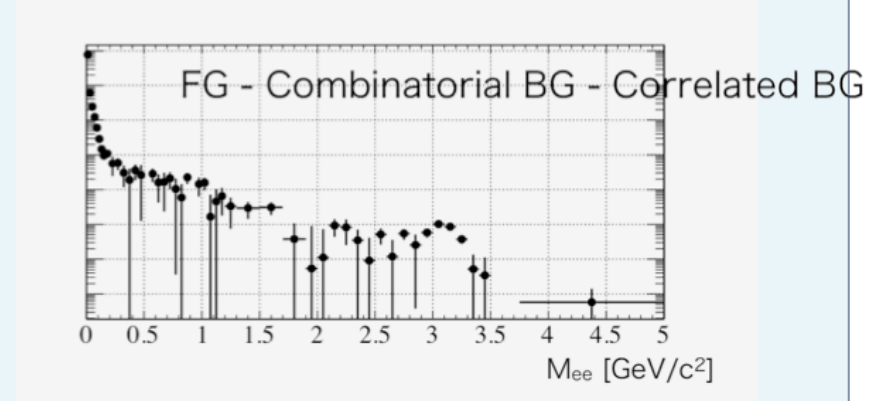
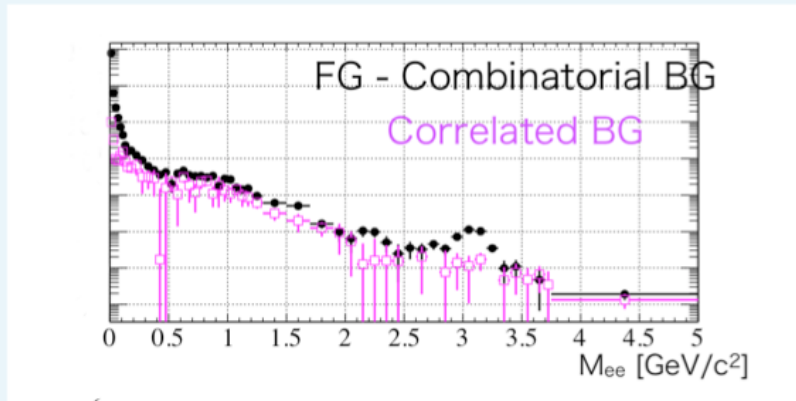
$$S = FG12 - \alpha \cdot FG1122$$

$$S = FG12 - \frac{BG12}{BG1122} \cdot FG1122$$

$$FG1122 = FG11 + FG22.$$

- Assuming that the likesign spectra contain no correlated pairs, the normalization is quite simple.
- However, the assumption of no correlated pairs in the same event likesign distributions is wrong!
- There are indeed correlations that need to be excluded when taking the ratio of (same event)/(mixed event) in the likesign.

Background Subtraction



- Two types of background pairs.
 1. Combinatorial background pairs. (mixed event)
 2. Correlated background pair i.e. $\pi^0 \rightarrow e^+e^- \gamma \rightarrow e^+e^-e^+e^-$ or $\pi \rightarrow \gamma\gamma \rightarrow e^+e^-e^+e^-$, also cross pairs and jet pairs. (acceptance corrected like-sign subtraction)

$$\text{Signal} = FG - \text{CombinatorialBG} - \text{CorrelatedBG}$$

$$\text{CorrelatedBG} = \alpha \times \sqrt{(FG11 - N_{11} \times BG11)(FG22 - N_{22} \times BG22)}$$

$$\alpha(m, p_T) = \frac{BG12(m, p_T)}{\sqrt{BG11(m, p_T) \cdot BG22(m, p_T)}}$$

$$S = FG12 - N_{12} \times BG12 - \text{CorrelatedUnlike}$$

Background Subtraction Issues

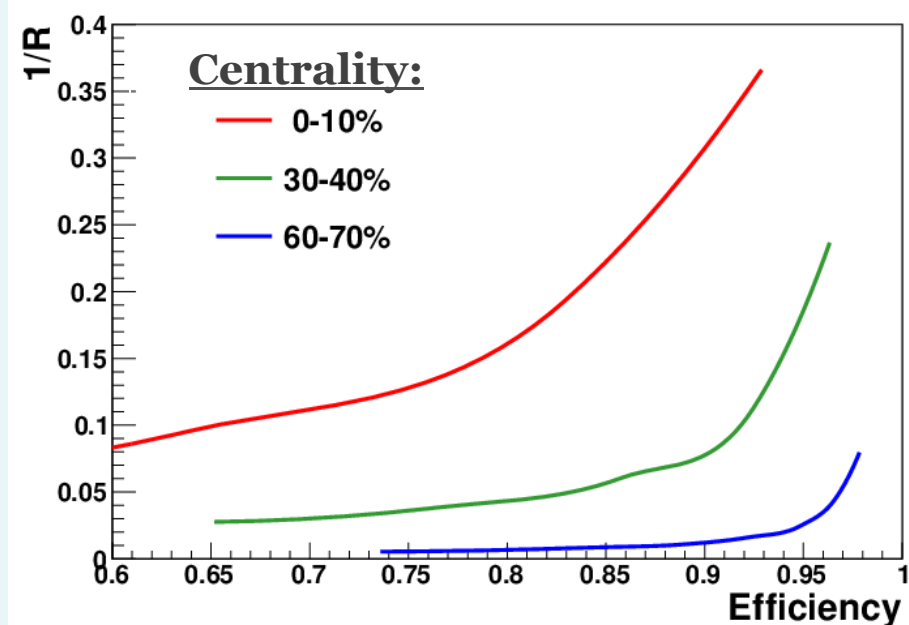


- The calculation is performed differentially in mass and p_T thereby significantly reducing the statistics in any given bin.
- The S/B is lowest around in this region.
- The like-sign spectrum suffers from a reduction in statistical precision in this region due to the PHENIX two-arm acceptance.
- The relative acceptance correction (α) and its associated systematic uncertainty are largest in the region $mass \sim 0.5 \text{ GeV}/c^2$ and $p_T \sim 0.5 \text{ GeV}/c$.

The HBD analysis in Au+Au: matching of tracks to the HBD

Monitoring the efficiency and the rejection:

- ❖ Efficiency studied using MC electrons from $\phi \rightarrow e^+e^-$ embedded in Au+Au data
- ❖ Rejection of mis-identified hadrons and random matching determined from the data

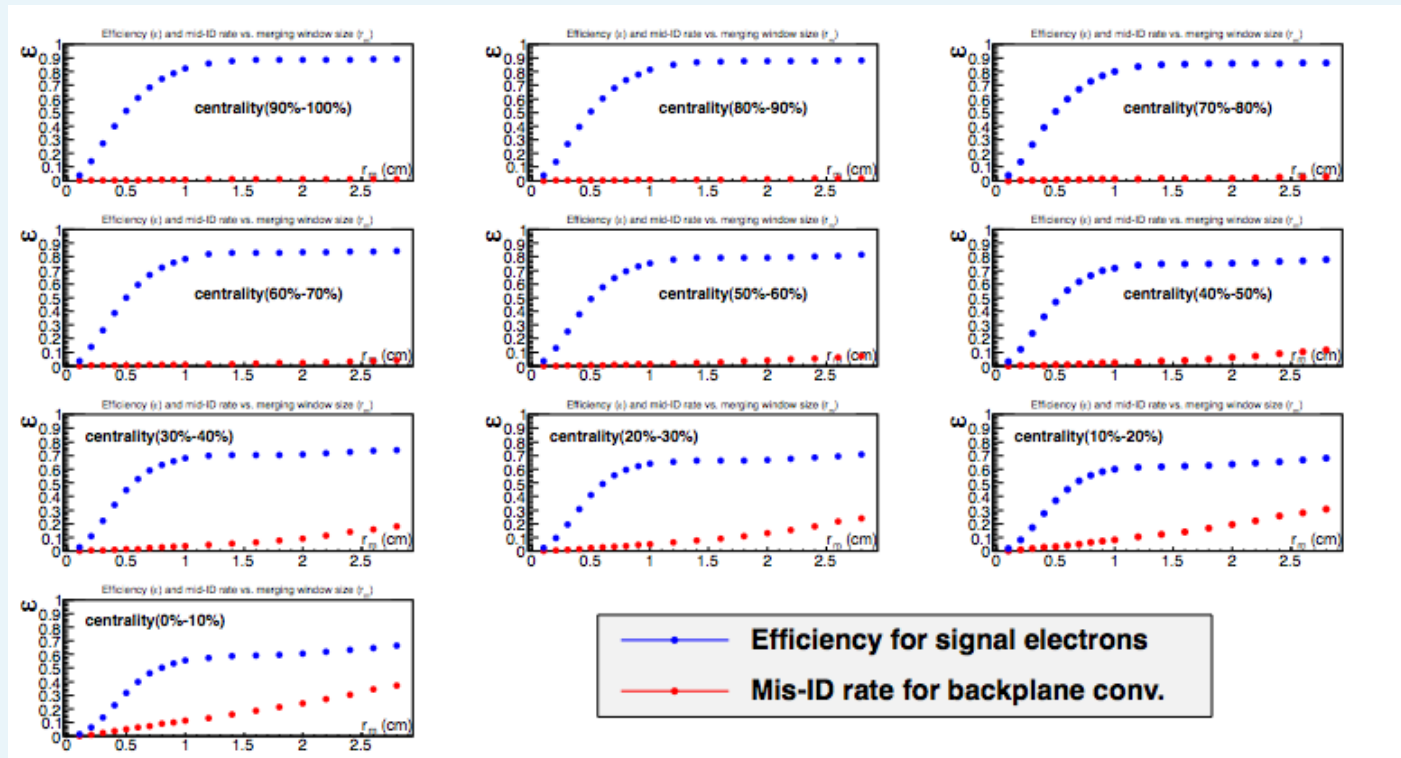


- **Very high rejection achieved while keeping a high efficiency even in the most central events**

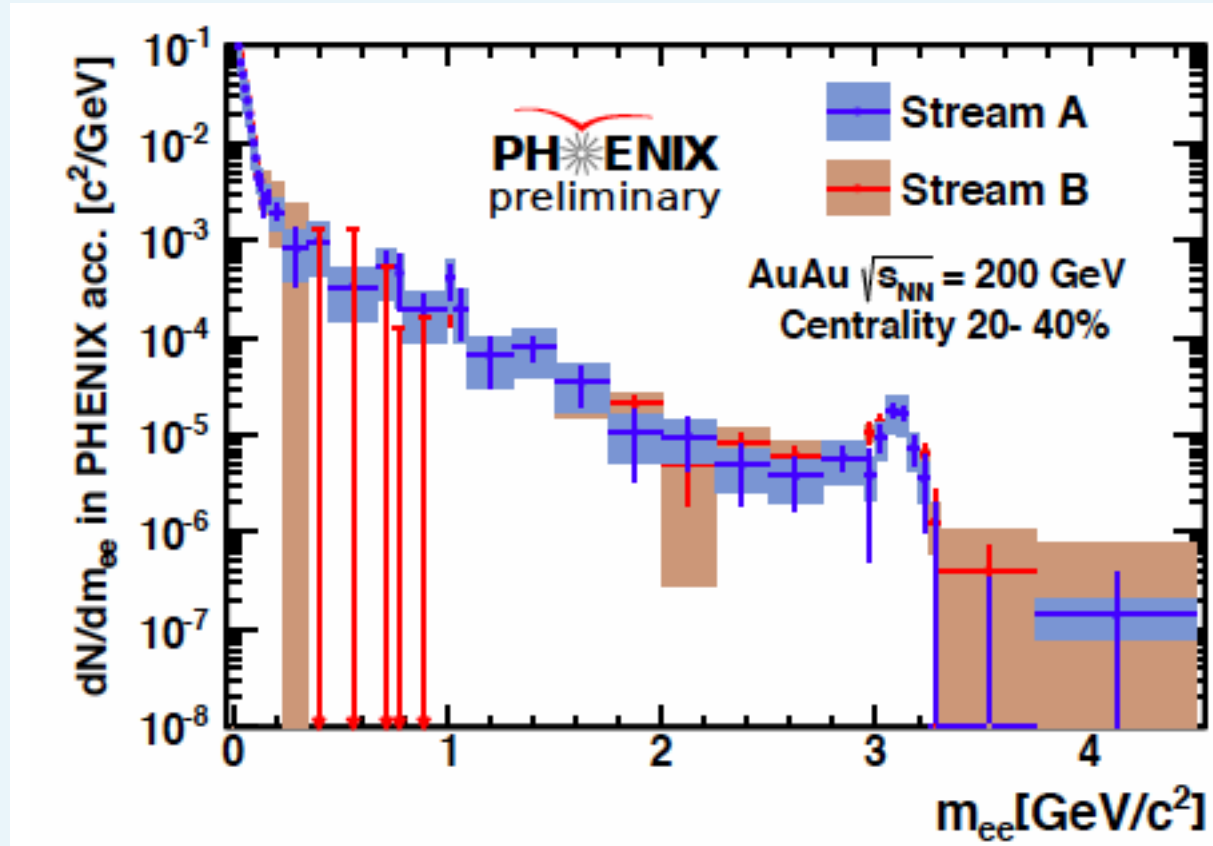
Performance in Au+Au collisions



- The SB reconstruction subtracts local background based on triplets around track projections.



Consistency between streams A and B



Direct Photon Elliptic Flow



- PHENIX has measured the elliptic flow of direct photons using a combination of techniques.

$$v_2^{dir.} = \frac{R_\gamma v_2^{inc.} - v_2^{BG}}{R_\gamma - 1}$$

- R_γ is the fraction of direct photon, $\gamma^{incl}/\gamma^{hadron}$
- v_2^{BG} is the v_2 of photons from hadron decays
- v_2^{inc} is the measured v_2 of all photons

R_γ Via Real and Virtual Photons

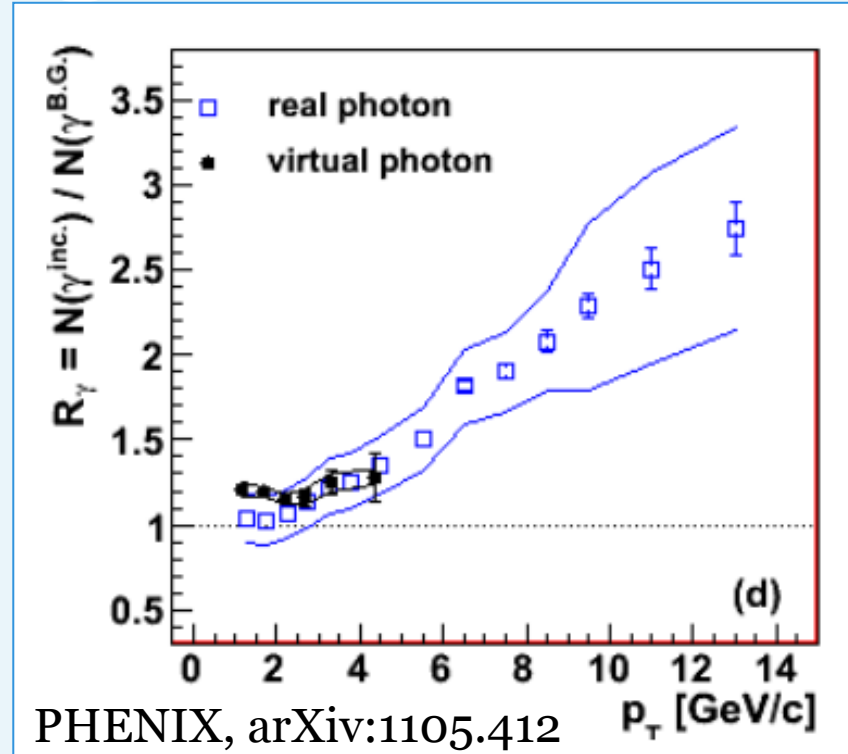
$$R_\gamma = \frac{N_\gamma^{inclusive}}{N_\gamma^{BG}}$$

$$v_2^{dir.} = \frac{R_\gamma v_2^{inc.} - v_2^{BG}}{R_\gamma - 1}$$

Measure through a double ratio

$$R_\gamma = \frac{\gamma^{incl}(p_T)}{\gamma^{hadr}(p_T)} = \frac{\varepsilon_\gamma(p_T) f(p_T) \cdot \left(\frac{N_\gamma^{incl}(p_T)}{N_{\pi^0 tag}(p_T)} \right)_{Data}}{\left(\frac{N_\gamma^{hadr}(p_T)}{N_{\pi^0}(p_T)} \right)_{Sim}}$$

Tag photons as coming from π^0 decays. Other decays accounted for with a cocktail



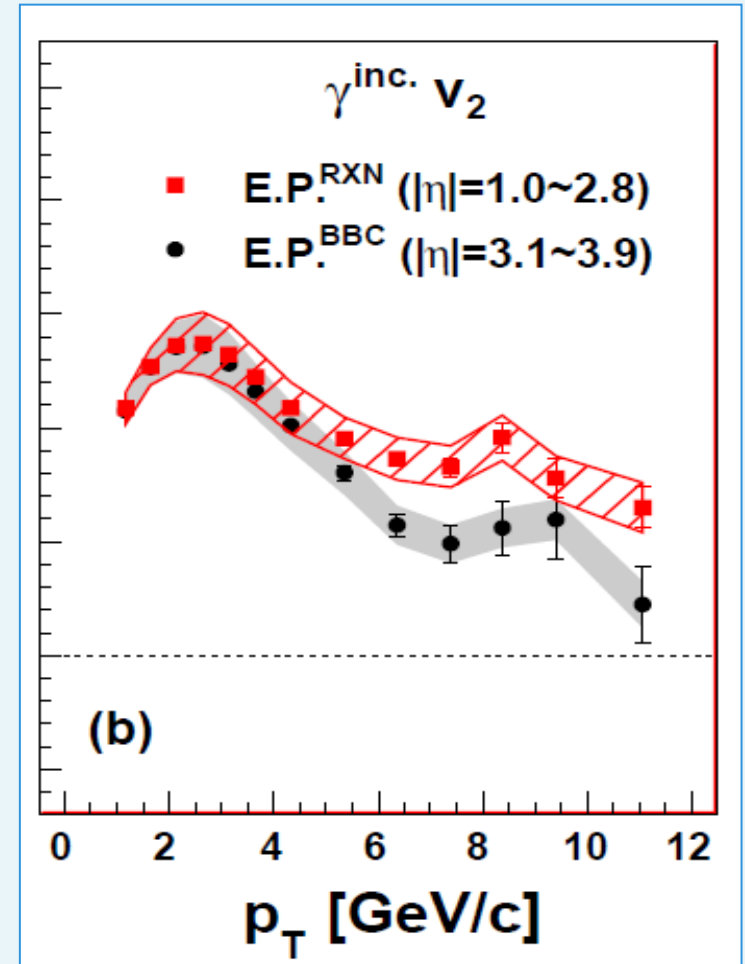
An excess of direct photons above the inclusive sample quantified as a ratio of inclusive to hadronic decay photons.

Inclusive photon v_2

$$v_2^{dir.} = \frac{R_\gamma v_2^{inc.} - v_2^{BG}}{R_\gamma - 1}$$

- Photons measured in the EMCal
- PID consists of
 - Shower shape cut
 - Charged track veto with PC
- Hadron contamination below 6 GeV
 - up to 20% below 2 GeV deposited energy
 - Correct for this with GEANT sim

$$v_2^{\gamma, obs} = \frac{v_2^{\gamma, meas} - (N^{hadr}/N^{meas})v_2^{hadr}}{1 - N^{hadr}/N^{meas}}$$

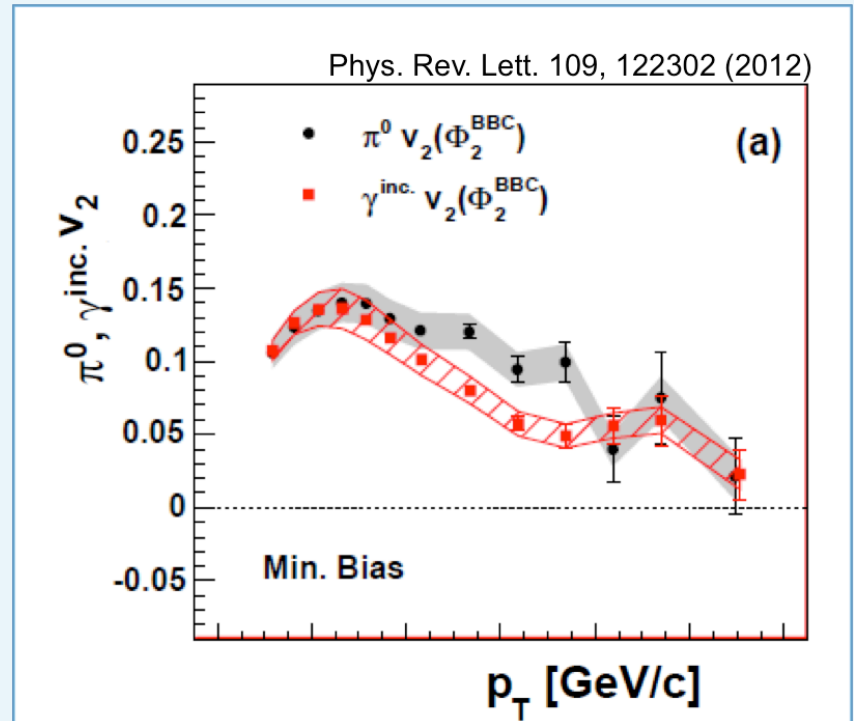
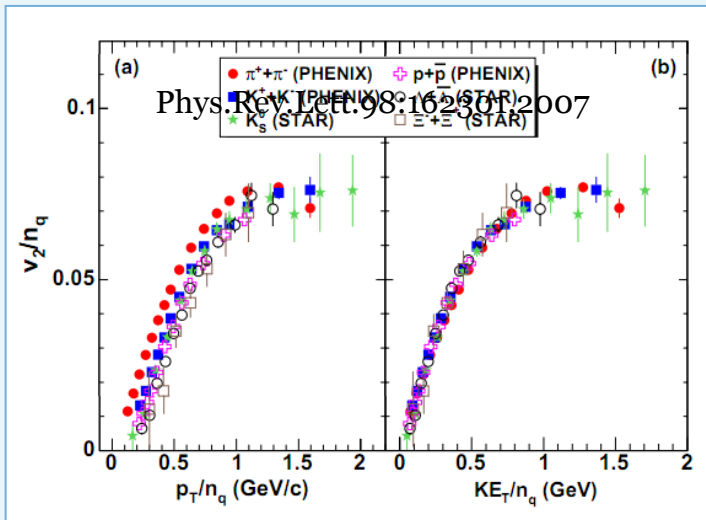


Hadron Decay Photon v_2

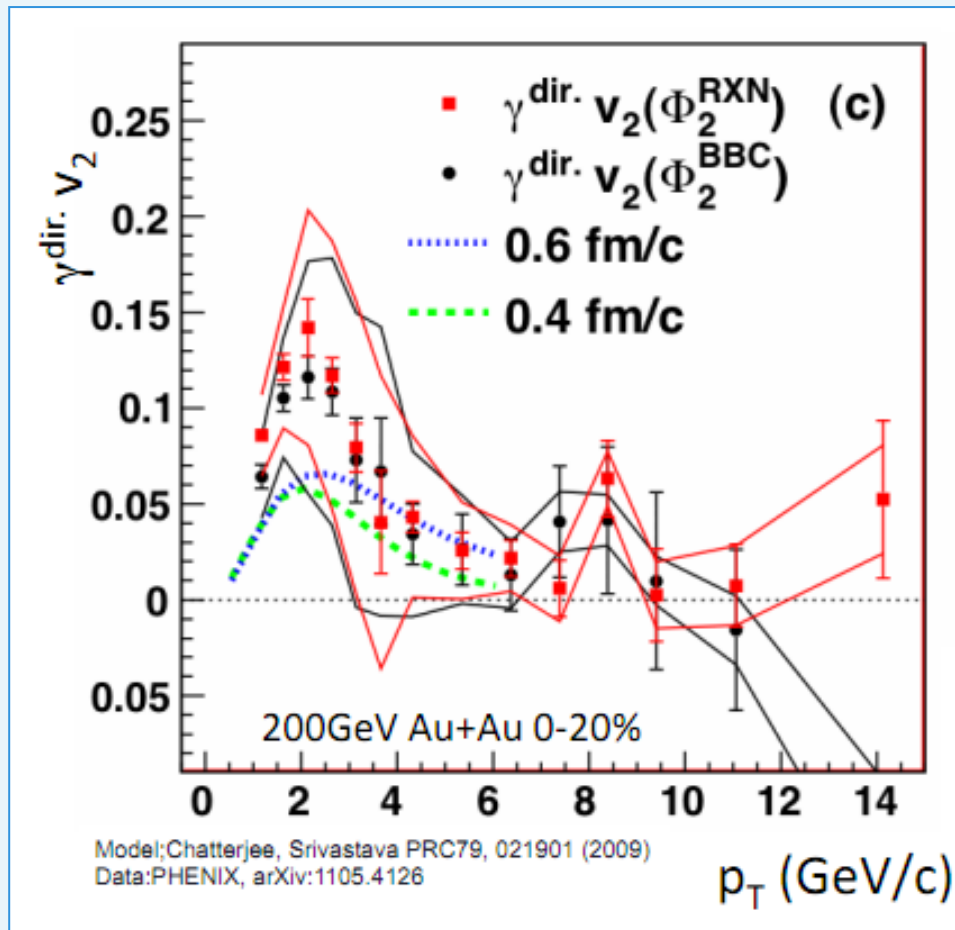


- We only measure $\pi^0 v_2$
 - about 80% of BG
- Assume v_2 of other hadrons from KE_T scaling
- v_2 modulation put into cocktail
- cocktail gives the total BG v_2 from decay photons

$$v_2^{dir.} = \frac{R_\gamma v_2^{inc.} - v_2^{BG}}{R_\gamma - 1}$$



What does it mean? Compare to theory (I)

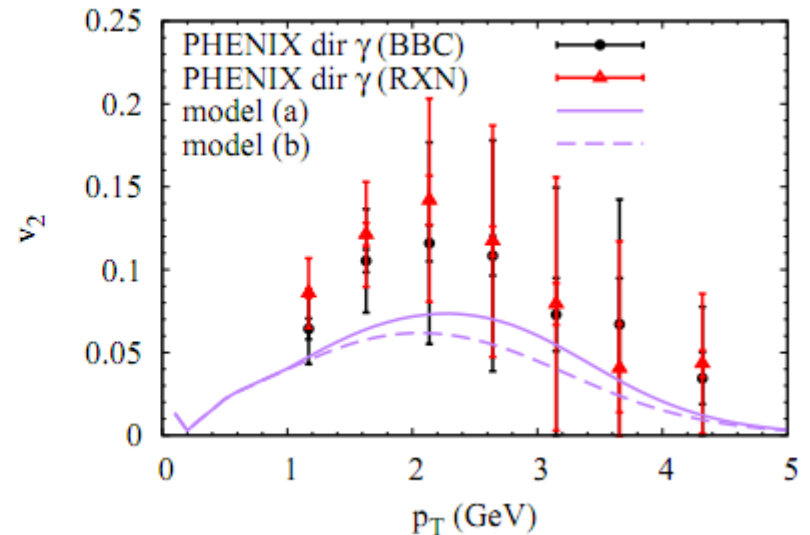
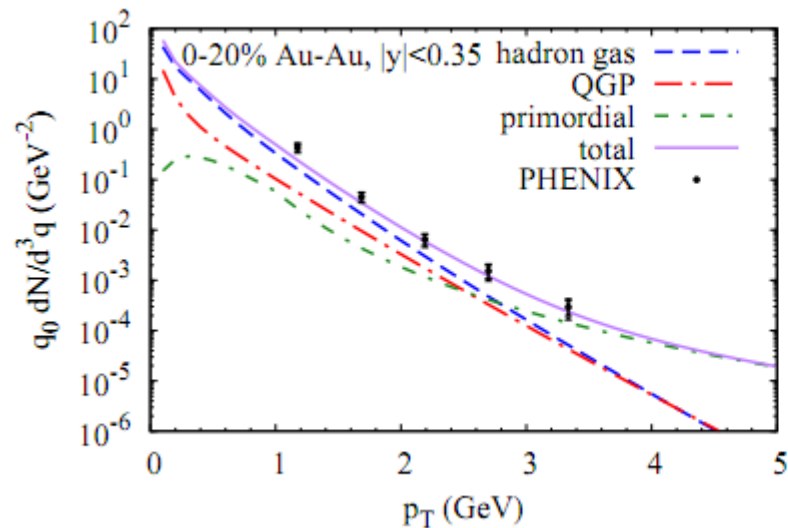


- Flow takes time to develop
 - QGP photons have small v_2
 - Hadron gas thermal photons have large v_2
- Does not account for data
- Is there something wrong with this picture?

Theory Comparison (II)

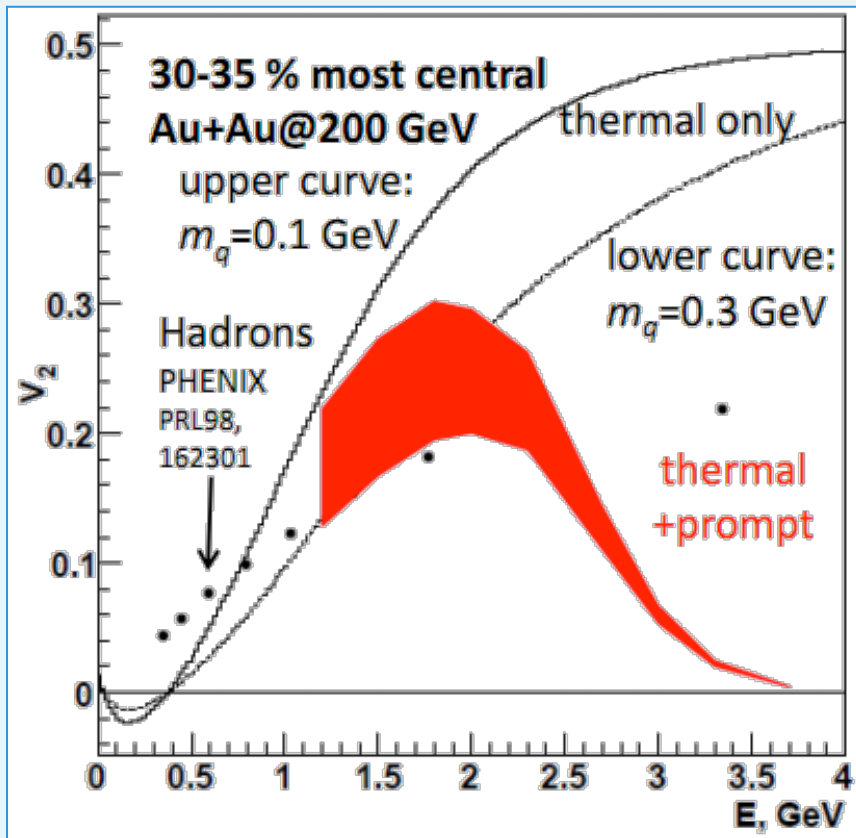


H. van Hees, C. Gale, R. Rapp
Phys. Rev. C 84, 054906 (2011)



- Important features/differences from hydrodynamic expansion
 - Hadronic phase includes meson-chemical potentials
 - Hadronic phase lasts longer (smaller T_{fo} and larger T_{ch})
 - Elliptic flow builds up faster
- Thermal radiation dominated by hadronic phase.

Theory Comparison (III)



V. Pantuev, arXiv:1105.4033v1

- Nothing about photon production included in model
 - Assume thermal shape and normalize to data
 - Describes effect of Doppler shift

$$dN/d\omega_0 = \exp(-\omega_0/T),$$

$$\omega = \omega_0 \frac{\sqrt{1-\beta^2}}{1-\beta\cos\theta}.$$

$$dN/dE = \frac{1-\beta_T\cos\theta}{\sqrt{1-\beta^2}} \exp\left(-\frac{E(1-\beta_T\cos\theta)}{T\sqrt{1-\beta^2}}\right).$$

- Cylindrical expanding fireball

Systematic error of direct photon v_2



TABLE I: Representative values of systematic uncertainties contributing to the direct photon v_2 measurement, shown for various p_T ranges for minimum bias collisions

Source	1–3 GeV/ c	10–16 GeV/ c	Type
inclusive γ v_2			
remaining hadrons	2.2%	N/A	A
v_2 extraction method	0.4%	0.6%	B
π^0 v_2			
particle ID	3.7%	6.0%	A
normalization	0.4%	7.2%	A
shower merging direct γ	N/A	4.0%	B
R_γ	3.1%	22%	A
common reaction plane	6.3%	6.3%	C